

Integrated Spectrum Auction System



Log In Information

The pages you are trying to access are protected. Please type your FCC Registration Number (FRN) and its associated password.

- Forgot your Password?
 FRN hotline information
- Need an FRN?
 Register with the FCC
- Not sure if you have an FRN?
 Search the FCC Registration System database to determine if you have been assigned an FRN.

See Wire Transfer for Refund Purposes

▼ GO

Pre-Auction Public Access Apply to bid, or modify existing applications Auction Applications Enter Auction Application Manager Auction Information [How do I request a refund of my upfront payment? (select an auction) See Wire Transfer for Refund Purposes ULS License Search **Online Bidding** There are no auctions available. **Post-Auction** How do I make my downpayment or final payment? Making a downpayment or final payment See FCC Form 159 Where do I file my license application? See Universal Licensing System How do I request a refund of my upfront payment?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)



Auction Application Manager

Create or Review Auction Applications

Approved by OMB 3060-0600 (Notice to Applicants)

Create New Application

Auction 164 Sample SMR Auction -- Initial Filing Window closes 5/17/2005, 3:30 PM

Review or Modify Existing Applications

Auction	Applicant Name	Application St	atus Las	t Modified	Last Submitted
No existing appl	ications found				
\$ - Upfront Payr	ment Calculator and Form 159	🔁 - Refund Form	📝 - Edit Application	🕎 - Withdraw Applicatio	on 🍳 - Search

Documentation

Auction: 3 - PCS Narrowband Region





FCC > Wireless > Auctions > Auction Application

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Auction 164

Sample SMR Auction

Applicant:

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

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Applicant Information

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

Applicant Legal Classification

To determine the applicant information required by the FCC please provide your Legal Classification.

- Consortium
- Corporation
- General Partnership
- Individual.
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- O Trust
- Unincorporated Association

Description of Legal Classification O Other:

Applicant Status (not required)

What is your status? Check all that apply.

- Minority Owned Business
- Rural Telephone Company
- ☐ Woman Owned Business

A legal Classification must be selected in order to proceed

Steps

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Common Ouestions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What if my Legal Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Quit link do?

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Sample SMR Auction

Applicant:

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Summary









Applicant Information

Applicant Name

Please provide the following information. The name entered will be used as the Bidder Name during the auction.

Entity Name: Bidder One Corporation

Jurisdiction of Formation: District of Columbia

Applicant Address (PO Boxes are not permitted)

Address Line 1: 123 Auction Street

City: Anywhere

State: District of Columbia

Zip Code: 20554

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Address Line 2:



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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

Why are P.O. boxes not permitted?

What is a suffix?



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Sample SMR Auction

Applicant: Bidder One Corporation

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	Sur	mm	ary









Applicant Information

Responsible	Party -	Corporation
-------------	---------	-------------

Identify an individual as the responsible party for the Corporation

First Suffix Last Name: Bidder |Imma

Officer or Director

President Title:

Telephone Number:

202 418 - 9999 2080

Email Address:

bidderone@fcc.gov



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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a suffix?

Do I have to fill in the Telephone Number and Email Address for our responsible party?

Who is considered to be a Responsible Party?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318	140 (<u>Log Out</u>)		Applicant: Bido	ler One Corporation
Auction 164				ses: 05/17/2005 3:30 PM (ET)
Sample SMR Auctio	on	■ <u>Summary</u>	Attachments	△ Print Preview ② Help 🗵
Applicant Info	ormation			Steps
Contact Information				 Applicant Information License Selection
Identify an official cont	tact to receive all auction correspondence.			Agreements
Name:	First MI Last Suffix Imma Bidder			Ownership Summary
Telephone Number:	Area Ext. 202 418 - 9999 2080			Certify & Submit
Fax Number:	Area 202 418 - 8888			Common Questions
Email Address:	bidderone@fcc.gov			When is my data saved during the data entry process?
COPY APPLICANT ADDRE	_			What is the difference between a warning and a error?
				What is a suffix?
Address Line 1:	123 Auction Street			What is the contact information used for?
Address Line 2:				Our official contact would
City:	Anywhere			rather communicate via phone or fax. Do I need t
State:	District of Columbia			enter their email address
Zip Code:	20554			Why are P.O. boxes not

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What is the difference between a warning and an error?

Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?

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Applicant Information

Authorized Bidders

Identify at least one but no more than three authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

	First	MI	Last	Suffix
1.	Imma		Bidder	
2.	Shesa		Bidder	
3.	Hesa		Bidder	

Telephonic or Electronic Bidding Options

Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

• Electronic C Telephonic

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Applicant Information

Bidding Credit Eligibility

This is the sole opportunity to apply for a bidding credit. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

Applicant does not seek a bidding credit

Applicant hereby applies for a bidding credit and states that aggregate average gross revenues attributable to the applicant:

- O Do not exceed \$3,000,000
- Exceed \$3,000,000 and do not exceed \$15,000,000
- © Exceed \$15,000,000 and do not exceed \$40,000,000

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a bidding credit?

What are aggregate average aross revenues?

What makes aggregate average gross revenues attributable to the applicant?

When do I apply for the tribal land bidding credit?



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License Selection

Select Licenses

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License selection identifies the licenses on which the applicant wishes to be eligible to bid.

Eligible Licenses AU-SMR001-A Region 1 AU-SMR002-A Region 2 AU-SMR003-A Region 3 AU-SMR004-A Region 4 AU-SMR005-A Region 5 AU-SMR006-A Region 6 SELECT ALL ADD D



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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How can I select more than one item?

Can I later change my license selections?

Do I have to bid on all the licenses I select?

What does Apply Filters mean?



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License Selection

View/Edit License Selections

These are your selected licenses. Select the Change Selection icon immediately below to change your selected licenses.

Selected Licenses

Change Selection -



3 Selected Licenses out of 6 Available Licenses

Licenses 1-3 (of 3)

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Name	Description
1 AU-SMR003-A	Region 3
2 AU-SMR004-A	Region 4
3 AU-SMR005-A	Region 5

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Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

Yes ○ No.

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the specific rule governing agreements, arrangements, and the like?



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Sample SMR Auction

Logged In: FRN 0007318140 (Log Out) Applicant: Bidder One Corporation Initial Filing Closes: 05/17/2005 3:30 PM (ET) Auction 164 Status: Not Submitted Agreements Steps Applicant Information Create New Agreement License Selection Identify all parties with whom Applicant has entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses Agreements being auctioned, including any such agreements relating to the post-auction market structure. Ownership Provide an identifying name for your agreement. Summary Agreement Identifier: Agreement 1 Certify & Submit Add Parties **Common Questions** Add up to three parties below. You may add more parties later. When is my data saved during the data entry Party to Agreement process? • Entity Name: Party 1 Company What is the difference FRN: between a warning and an 000123456 (Search FRN) error? MI Last Suffix 0 Individual Name: Do I have to provide an FCC Registration Number (FRN) for FRN: RESET my agreement party? (Search FRN) What does the Search FRN link do? Party to Agreement • Entity Name: Party 2 Company FRN: (Search FRN) MI Last Suffix Individual Name: FRN: RESET (Search FRN) Party to Agreement Entity Name: FRN: (Search FRN) MI Last Suffix Individual Name: FRN: RESET (Search FRN)

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ADD MORE PARTIES

FINISH THIS AGREEMENT



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Agreements

View/Edit Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Edit - 🌠

Agreements? Yes

You currently have 1 agreement

Agreement: Agreement 1

2 parties in this agreement

Party: Party 1 Company

Party: Party 2 Company

▶ Add Party To This Agreement

▶ Delete This Agreement

Remove -



CREATE NEW AGREEMENT

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What is the difference between a warning and an error?



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Ownership

Add Revenue Information to Applicant

Gross Revenue Disclosure

Declare the three previous years' gross revenues below.

If the Applicant was in existence and had no gross revenues for a year of the relevant period, disclose Gross Revenues as \$0 for that year. If the Applicant was not in existence for a year of the relevant period, disclose gross revenues for the Applicant's predecessor-in-interest, if any.

If Applicant is not disclosing gross revenues for the most recent three years, and/or is disclosing gross revenues for fewer than three years, please explain why in an attachment.

Most Recent Report	table Year				
☐ The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.					
Year End Date:	12/31/2004	(Date Format: MM/DD/YYYY)			
Gross Revenues: \$	6000000	(Format: 99999.99)			
One Year Prior to N	One Year Prior to Most Recent Reportable Year				
☐ The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.					
Year End Date:	12/31/2003	(Date Format: MM/DD/YYY)			
Gross Revenues: \$	3000000	(Format: 99999.99)			

Two Years Prior to Most Recent Reportable Year

The Applicant and any	predecessors-in-interest	: were not in existend	ce for a full yea	r of the relevant
period.				

Year End Date: 12/31/2002 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ 0 (Format: 99999.99)

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Can I enter a negative number for gross revenues?

Why must the Year End Date for each year be exactly one year (12 months) prior to the Year End Date for the following year?



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Sample SMR Auction

Applicant: Bidder One Company

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Status: Not Submitted

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Ownership

Edit Revenue Information for Applicant

Financial Statements

You must select one.

- Applicant used audited financial statements.
- Applicant used unaudited financial statements prepared in accordance with Generally Accepted Accounting Principles and certified by Applicant's chief financial officer or the equivalent.

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must I choose one of these options?

What if neither choice applies?



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Ownership

Add Disclosable Interest Holder

Disclosable Interest Holder Name and Type

Select either Entity OR Individual.

0	Entity Name:	DIH Company		
	Entity Type:	Corporation	Other D	escription
	FRN:	123456789	(Search FRN)	
0	Individual Name:	First	MI Last	Suffix
	FRN:		(Search FRN)	

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What is the difference between a warning and an error?

What is a Disclosable Interest Holder (DIH)?

Is the FRN required?



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Ownership

Add Disclosable Interest Holder: DIH Company

Disclosable Interest Holder Address

Click here if address is located outside the US.

Address:	321 Somewhere Ave
City:	Washington
State:	District of Columbia
Zip Code:	20554

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When is my data saved during the data entry process?

What is the difference between a warning and an error?

What certification should I provide for foreign ownership?



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Ownership

Add Disclosable Interest Holder: DIH Company

Type of Interest in Applicant

Check all that apply.

- ✓ Direct Ownership Interest in Applicant
- ☐ Indirect Ownership Interest in Applicant
- ☐ Officer
- □ Director.
- Key Management Personnel
- Management Contract
- ☐ Other (specify)

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What is the difference between a warning and an error?

How do I describe disclosable interest holders who have an indirect ownership in my company?

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Ownership

Add Disclosable Interest Holder: DIH Company

Type of Ownership Interest in Applicant

Che	eck all that apply.
~	Common Stock (specify)
	Voting
	General Partnership Shares (specify)
	▼
	Limited Partnership Shares (specify)
	▼
	Membership Shares
	Option to Acquire Equity
	Proprietorship
	Preferred Stock (specify both voting and convertible types)
	▼
	▼
	Warrant
	Other (specify)
	A

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Ownership

Add Disclosable Interest Holder: DIH Company

Percent of Interest Held in Applicant

30 %

Jurisdiction of Formation

Click here if DIH was formed in a jursidiction outside the US.

Jurisdiction of Formation: District of Columbia

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What is a Jurisdiction of Formation?

What should the "Percent of Interest Held in Applicant" reflect?



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Ownership

Add FCC Regulated Business

FCC Regulated Business

Identify business(es) in which the Applicant has an interest. You may select business(es) already submitted or begin entering the information below.

FCC Regulated Business	Principal Business	FRN	Percent of Interest Held
FRB Corporation	Telecommunications	987654321	20 %
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
	·	(Search FRN)	
			%
'	·	(Search FRN)	
			%
	·	(Search FRN)	

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an FCC Regulated Business (FRB)?

Is the FRN required?

What does "Percent of Interest Held" refer to?

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ADD MORE BUSINESSES

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Ownership

Add Affiliate

Affiliate

Identify business(es) which are affiliated in any way to the Applicant. You may select business(es) already submitted or begin entering the information below.

Affiliate Name

Select either Business Name OR Individual.

•	Business Name:	Affiliate LLC		
	Principal Business:	Construction		
	FRN:	543210000	(Search FRN)	
0	Individual Name:	First	MI Last	Suffix
	FRN:		(Search FRN)	

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What does the Search FRN link do?

What is an Affiliate?

Is the FRN required?

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Ownership

View/Edit Ownership Disclosure Information

Revenue Summary

Aggregate Average Gross Revenue: \$5,425,000.00

Aggregate Gross Revenue:

Most recent reportable year: \$10,000,000.00 One year prior to most recent reportable year: \$3,850,000.00 Two years prior to most recent reportable year: \$0.00

- ▶ Revenue Information for Applicant
- ▶ Add Disclosable Interest Holder to Applicant
- Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant
- Upload Applicant Ownership Disclosure Information
- ▶ <u>View all FCC Regulated Businesses of the Applicant</u> ▶ <u>View all Affiliates of the Applicant</u>

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: Affiliate LLC

DELETE SELECTED

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Ownership

Gross Revenues Confirmation

Confirmation

Has Applicant provided separate gross revenue information for itself, for each of Applicant's officers and directors; for each of Applicant's other controlling interests; for each of Applicant's affiliates; and for each affiliate of each of Applicant's officers, directors, and other controlling interests?

• Yes • No

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Summary Overview

Choose a step listed below to review your provided information.

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VIEW/EDIT

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VIEW/EDIT

CHECK ERRORS

Once you are confident that the application is ready for certification and submission, dick on the "Continue To Certify" button below.

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What is the difference between a warning and an error?

What does the Check Errors button do?



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Certify & Submit

Former Default, Delinquency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty and perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- © The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must one of the options be selected?

What if I don't know which of the two options is correct?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

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区 Ouit

Certify & Submit

Certify Auction Application

By clicking the "Submit" button below, I certify the following:

(1) that the applicant is legally, technically, financially, and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.

(2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application, which provide that someone other than the applicant shall have an interest in the license.

(3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.

(4) except as explicitly provided in the Commission's Rules, that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.

(5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.

(6) that if a bidding credit eligibility is claimed, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.

(7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

(8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the license and/or licenses specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application, its schedules and attachments, including exhibits, are true and correct.

Signature

	First	MI	Last	Suffix
Person Certifying:	Imma		Bidder	
Signature:	Imma Bidder			

Title: President

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SUBMIT

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Is it necessary to read all of these certifications?

Who is authorized to certify?

What if I certify and any of the certifications are false?

After I fill out my application electronically, is it automatically submitted to the FCC?

Can I change information after I submit Form 175?

After I submit my Form 175 once, are any later changes automatically submitted to the FCC?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Submitted

Summary

Attachments

Print Preview 2 Help X Quit

Certify & Submit

Auction Application Submit Confirmation

Your Auction Application has been received on 5/16/2005 at 3:58 PM. Your file number is 0001680049. Please print this page for your records.

AUCTION APPLICATION MANAGER

Upfront Payment Calculator/Form 159

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is Form 159 and how do I fill it out?

How can I get a copy of the information I submitted?

Must I go to the Upfront Calculator and Form 159 immediately?

Do I have to submit an upfront payment to cover all the licenses I selected on my Form 175?

How will I know the status of my Form 175?

When can I see the Form 175 of other applicants for the auction?

FEDERAL COMMUNICATIONS COMMISSION REMITTANCE ADVICE

	\neg		S	PECIAL USE ONLY
(1) LOCKBOX# 999999				
				CCUSE ONLY
		YER INFORMATIO		
(2) PAYER NAME (if paying by credit card enter in Doe Buck Partnership	ame exactly as it appears on the ca	rd)	(3) TOTAL AMOU! \$4,200.00	NT PAID (U.S. Dollars and cents)
(4) STREET ADDRESS LINE NO.1 3344 Independence Ave				
(5) STREET ADDRESS LINE NO. 2				
(6) CITY Washington			(7) STATE DC	(8) ZIP CODE 20009
(9) DAYTIME TELEPHONE NUMBER (include: 202 - 7852887		US	ODE (if not in U.S.A	L)
	FCC REGISTRATION N			
(11) PAYER (FRN) 0004979480		(12) FCC USE O	NLY	
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(13) APPLICANT NAME Bidder One Company				
(14) STREET ADDRESS LINE NO.1				
123 Auction Ave				
(15) STREET ADDRESS LINE NO. 2				
(16) CTTY			(17) STATE	(18) ZIP CODE
Washington			DC	20554
(19) DAYTIME TELEPHONE NUMBER (include (202) 418-9999			XODE (if not in U.S.A)
	FCC REGISTRATION N			
(21) APPLICANT(FRN) 0007318124		(22) FCC USE (NLY	
COMPLETE SECTION	C FOR EACH SERVICE, IF MO	RE BOXES ARE N	EEDED, USE CON	TINUATION SHEET
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