



Federal
Communications
Commission

Reverse Auction (Auction 1001) Workshop

Applying to Participate— FCC Form 177

December 8, 2015

Disclaimer

Nothing herein is intended to supersede any provision of the Commission's rules or public notices. These slides should not be used as a substitute for a prospective applicant's review of the Commission's relevant orders, rules, and public notices. Prospective applicants must familiarize themselves thoroughly and remain current with the Commission's rules relating to the Incentive Auction, application and auction procedures, and the procedures, terms and conditions contained in auction public notices.

Auction 1001 Dates and Deadlines

Pre-Auction Process Tutorial Available (via Internet)	November 20, 2015
FCC Form 177 Filing Window Opens	December 8, 2015; 12:00 noon Eastern Time (ET)
FCC Form 177 Filing Window Closes	January 12, 2016; 6:00 PM ET
Bidding and Post Auction Process Tutorial Available (via Internet)	February 29, 2016
Initial Commitment Deadline	March 29, 2016; 6:00 PM ET

Auction 1001 Dates and Deadlines (continued)

Initial Clearing Target and Band Plan Announced	Three to four weeks after the initial commitment deadline
Mock Auction(s)	Specific date to be provided to each applicant that is qualified to bid by confidential status letter after the initial clearing target is announced
Bidding in the Reverse Auction Clock Rounds Begins	Specific date to be provided to each applicant that is qualified to bid by confidential status letter after the initial clearing target is announced

Tools to Help You Meet the 177 Deadline

The Commission's Auction 1001 webpage, www.fcc.gov/auctions/1001, has three tools to help you meet the first deadline.

The screenshot shows the FCC Auction 1001 webpage. At the top, there is a black header with the FCC logo and the text "BROADCAST INCENTIVE AUCTION". Below this is a breadcrumb trail: "FCC Auctions / Broadcast Incentive Auction / Auction 1001". A blue bar contains the text "Auction 1001". To the right, there are two buttons: "INCENTIVE AUCTIONS OVERVIEW" and "FCC AUCTIONS". The main heading is "Reverse Auction Relinquishing Broadcast Spectrum Rights". Below this is a navigation menu with tabs for "Schedule", "Auction", "Public Notices", "Data", and "Education". The "Education" tab is highlighted with a red box. Under the "Education" tab, there is a list of resources: "Pre-Auction Process Online Tutorial" and "Workshop on Reverse Auction Application Process December 8, 2015". Both items in the list are also highlighted with red boxes. A blue box on the right side of the page contains the text "Accessible through a web browser with Adobe Flash Player".

FCC Auctions / Broadcast Incentive Auction / Auction 1001

Auction 1001

INCENTIVE AUCTIONS OVERVIEW

FCC AUCTIONS

Reverse Auction
Relinquishing Broadcast Spectrum Rights

Schedule Auction Public Notices Data **Education**

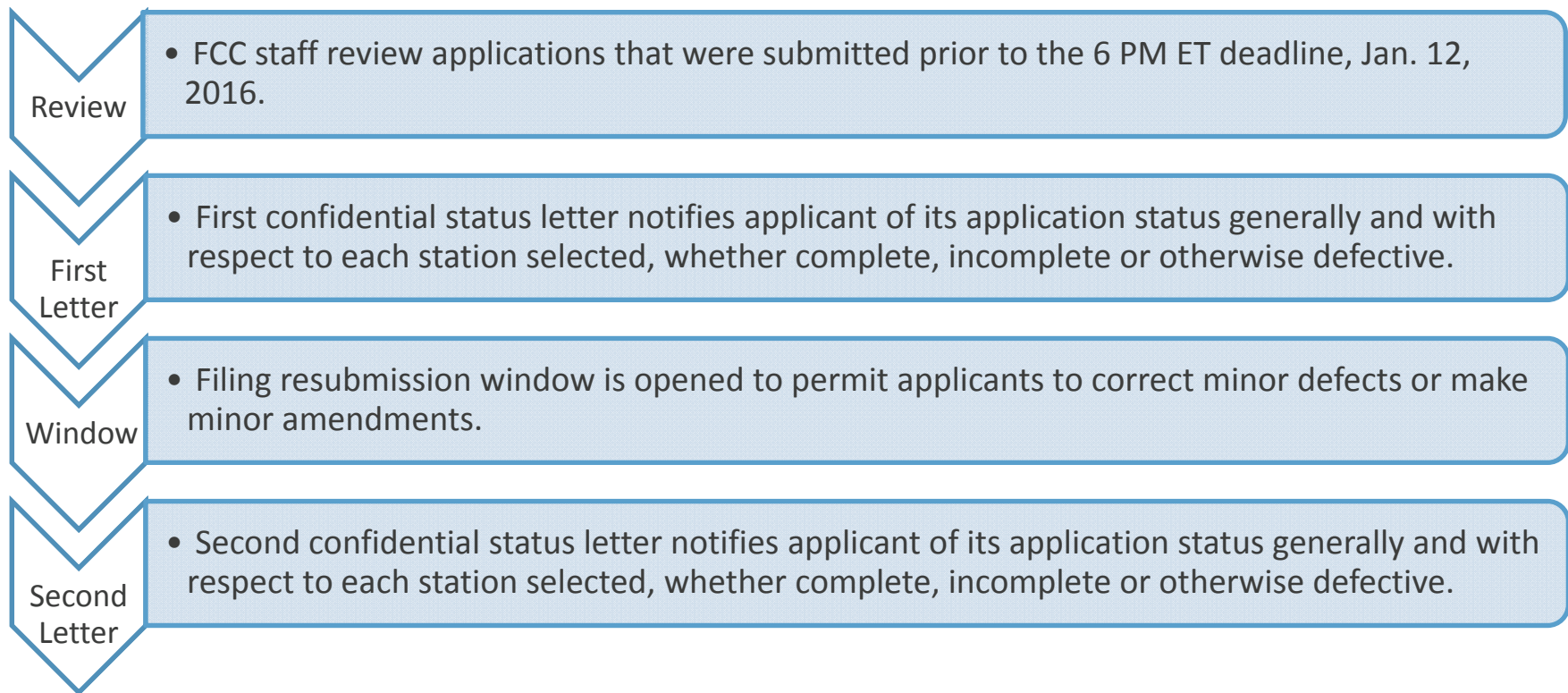
Education

- Pre-Auction Process Online Tutorial
- Workshop on Reverse Auction Application Process
December 8, 2015

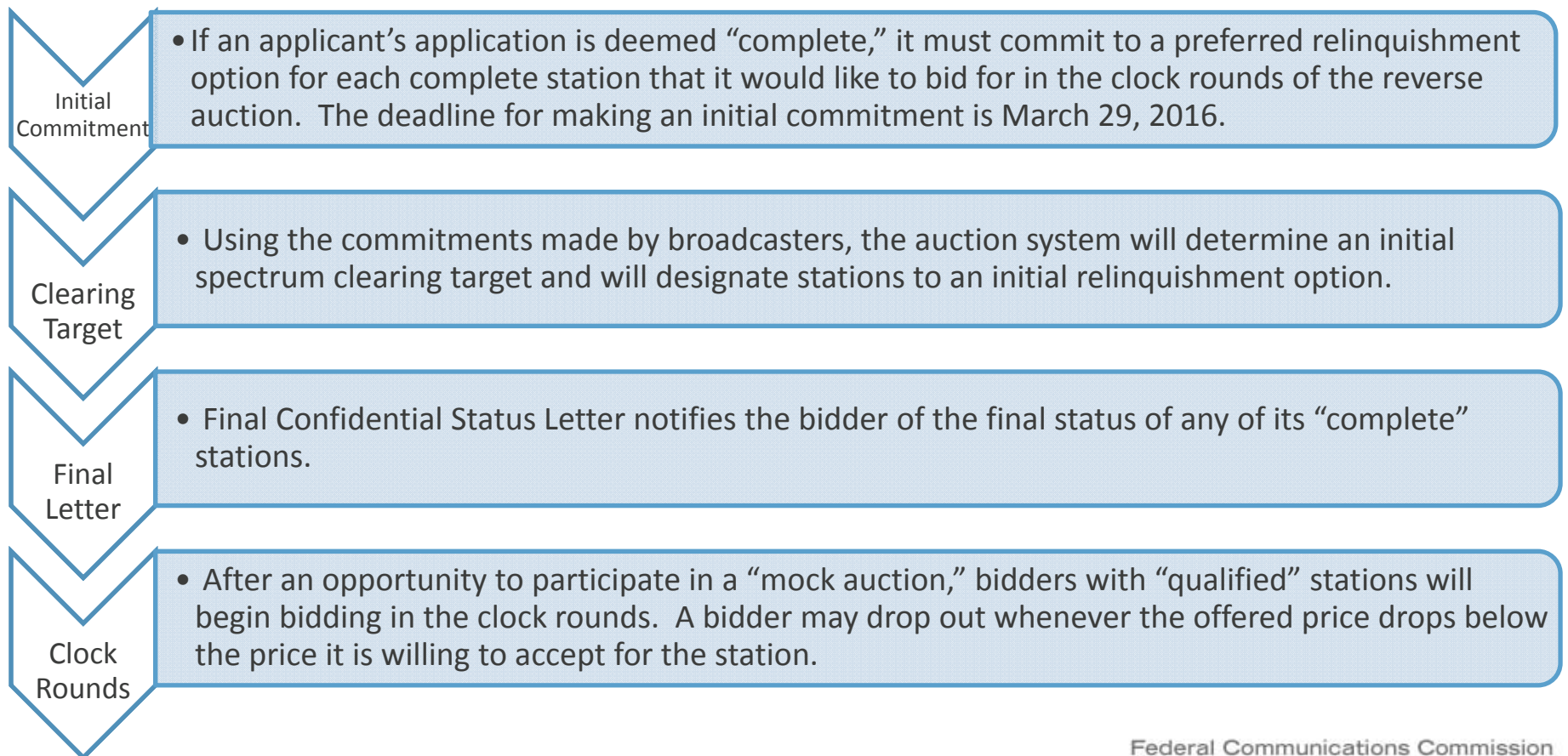
Accessible through a web browser
with Adobe Flash Player

Path to the Reverse Auction

Step 1: Submit an application prior to 6 PM ET, January 12, 2016



Path to the Reverse Auction (continued)



Five Sections of the FCC Form 177

1. Applicant Information
 - Legal Classification
 - Applicant and Address
 - Contact Details
 - Responsible Party
 - Authorized Bidders
2. Station Selection
 - Call Sign/Facility ID
Number/Channel/Facility Type
 - Pending Proceedings
 - Relinquishment Options
 - Channel Sharing Information
 - Station-Specific Certifications
3. Ownership
 - Disclosable Interest Holders
4. Summary
 - Application Error Summary
5. Certify and Submit
 - Application Certifications

Legal Classification

Auction 1001

Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET Status: **Not Submitted**

Applicant Information

[Attachments](#) [Print](#) [Help](#) [Quit](#)

The Applicant Information section identifies the applicant, its responsible parties (where applicable), and its auction contact party. The contact individual will be sent all correspondence concerning application. The applicant name will be used as the bidder name during the auction. *The asterisk (*) indicates a required field.*

Applicant Legal Classification

[Help](#)

* To determine the applicant information required by the FCC please provide your Legal Classification.

- Consortium
- Corporation
- General Partnership
- Government Entity
- Individual
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- Trust
- Unincorporated Association
- Other

⚠ Note: After selecting and saving your legal classification, you will be required to enter additional applicant information on subsequent pages of this form. If you later change your legal classification selection, some of your previously-entered applicant information will be deleted as a result of the change and must be reentered.

[« Back](#)

[Save](#)

[Save & Continue »](#)

APPLICATION SECTIONS

Applicant Information

- ➔ Legal Classification
 - Applicant Details
 - Responsible Party
 - Contact Details
 - Authorized Bidders

Station Selection

Ownership

Summary

Certify & Submit

COMMON QUESTIONS

- ❓ What if my Legal Classification is not listed?
- ❓ When is my data saved during the data entry process?
- ❓ What does the Quit link do?

- Applicant = Licensee that may relinquish the spectrum usage rights
- Questions marked with an asterisk are required
- The navigation pane displays the sections of the FCC Form 177

Name and Address

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes: 01/12/2016 06:00 PM ET | Status: **Not Submitted**

Applicant: | Attachments | Print | Help | Quit

Applicant Information

** indicates required field*

Applicant Name [Help](#)

Please provide the following information. The name entered will be used as the **Bidder Name** during the auction.

* Entity Name:

* Jurisdiction of Formation:

Applicant Address [Help](#)

PO Boxes are not permitted.

* Country:

* Address Line 1:

Address Line 2:

* City:

* State:

* Zip Code:

[« Back](#) [Save](#) [Save & Continue »](#)

APPLICATION SECTIONS

- Applicant Information [Help](#)
 - Legal Classification
 - Applicant Details
 - Responsible Party
 - Contact Details
 - Authorized Bidders
- Station Selection
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- What is a Jurisdiction of Formation?
- What is a suffix?
- Who can be the applicant?
- Why are P.O. boxes not permitted?
- When is my data saved during the data entry process?
- What does the Quit link do?

- Licensee name should be the same name listed in the [LMS database](#) for the station(s)
- Name will be used as the Bidder Name throughout the auction
- Don't use a PO Box!

Responsible Party

Auction 1001

Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: **01/12/2016 06:00 PM ET** Status: **Not Submitted**

Applicant Information

* indicates required field

Responsible Party: Limited Liability Company

Identify an individual as the responsible party for the Limited Liability Company.

* First Name:

Middle Initial:

* Last Name:

Suffix:

* Title:
Title or other relationship to applicant

Phone:

* Email:

Responsible Party Address

PO Boxes are not permitted.

* Country:

* Address Line 1:

Address Line 2:

* City:

Attachments Print Help Quit

Help

APPLICATION SECTIONS

Applicant Information

- Legal Classification
- Applicant Details
- ➔ Responsible Party
- Contact Details
- Authorized Bidders

Station Selection

Ownership

Summary

Certify & Submit

COMMON QUESTIONS

- ? Do I have to fill in the telephone number and E-mail address for our responsible party?
- ? What is a suffix?
- ? Who is considered to be a Responsible Party?
- ? When is my data saved during the data entry process?
- ? What does the Quit link do?

- This screen is not always displayed
- For corporations, the responsible party should be an officer or director
- For partnerships, the responsible party should be a natural person
- For trusts, the responsible party should be a trustee

Contact Information

Auction 1001

Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET Status: **Not Submitted**

Applicant Information

Attachments Print Help Quit

Middle Initial:

* Last Name:

Suffix:

* Phone:

* Fax:

* Email:

APPLICATION SECTIONS

Applicant Information

- Legal Classification
- Applicant Details
- Responsible Party
- **Contact Details**
- Authorized Bidders

Station Selection

Ownership

Summary

Certify & Submit

COMMON QUESTIONS

- ? Can contact information be changed after the initial filing deadline for the auction application?
- ? What is a suffix?
- ? What is the contact information used for?
- ? Why are P.O. boxes not permitted?
- ? Why is a fax number

Contact Address

Help

PO Boxes are not permitted.

* Country:

* Address Line 1:

Address Line 2:

* City:

* State:

- The FCC will communicate only with the Contact Individual
- The FCC will mail all correspondence to the Contact Address
 - No PO Boxes!
- You can change the contact individual and address if necessary
 - It is important to keep all contact information up-to-date

Federal Communications Commission

Authorized Bidders

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: **01/12/2016 06:00 PM ET** Status: **Not Submitted**

Attachments Print Help Quit

Applicant Information

Authorized Bidders

Identify at least one **but no more than three** authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

* First Name:

Middle Initial:

* Last Name:

Suffix:

* Phone:

* Email:

0 authorized bidders entered

Electronic or Telephonic Bidding Options

* Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

Electronic Telephonic

Applicant Information

- Legal Classification
- Applicant Details
- Responsible Party
- Contact Details
- Authorized Bidders**
- Station Selection
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- Must an applicant choose between electronic and telephonic bidding?
- What is the difference between electronic and telephonic bidding?
- Who may be an authorized bidder?
- When is my data saved during the data entry process?
- What does the Quit link do?

- Up to **3** authorized bidders
- These are the people that are allowed to bid for the applicant during the auction
- You can change them if necessary
- Yes, you may bid electronically or telephonically regardless of which you select
 - Select your primary method

Associated Stations

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes: 01/12/2016 06:00 PM ET
Applicant: **Not Submitted**

Station Selection

Attachments Print Help Quit

The applicant must provide the information for each broadcast station for which it would like to become qualified to submit bids for in the reverse auction.

Associated Stations [Help](#)

Listed below are the full power and Class A television stations associated with your FRN. Select one or more of the available stations below to add to this application.

Facility ID	Call Sign	Actions
429	TEST-3	Select
520	TEST-4	Select
915	TEST-1	Select
936	TEST-2	Select

[« Back](#) [Continue »](#)

APPLICATION SECTIONS

- Applicant Information
- Station Selection**
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- Are all of my stations shown?
- Can a station selection be changed after the initial filing deadline?
- When is my data saved during the data entry process?
- What does the Quit link do?

- You will see the eligible full power and Class A stations associated with your FRN
 - Some stations may be listed as not needed
- YOU SHOULD SELECT EACH STATION YOU MAY WISH TO BID FOR IN THE REVERSE AUCTION
 - You cannot change your mind and add a station after the 6 PM ET filing deadline on Jan. 12, 2016

Station Information

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes | Status: **Not Submitted**
Applicant: **01/12/2016 06:00 PM ET**

Station Selection Attachments Print Help Quit

** indicates required field*

Station Information Help

Call Sign: TEST-1
Facility ID: 915
Channel: 32
Facility Type: Full Power Station

* Is the station non-commercial educational?
 Yes No

Check all that apply to the station:

The license for the station is subject to a non-final revocation order.
 The license for the station has expired or been cancelled and is now subject to a non-final license cancellation order.

« Back Save Save & Continue »

- When you select a station you will see pre-filled station information
- You must indicate for a full-power station whether it is a non-commercial educational station, and if so, if it operates on a reserved or non-reserved channel

Pending License Validity Proceeding

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: Initial Filing Closes: Status:
01/12/2016 06:00 PM ET Not Submitted

Attachments Print Help Quit

Station Selection

* indicates required field

Station Information

Call Sign: TEST-3
Facility ID: 429
Channel: 32
Facility Type: Class A Station

Check all that apply to the station:

- The license for the station is subject to a non-final revocation order.
- The license for the station has expired or been cancelled and is now subject to a non-final license cancellation order.
- The license for the station is subject to a non-final downgrade order.

« Back Save Save & Continue »

APPLICATION SECTIONS

- Applicant Information
- Station Selection**
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- When is my data saved during the data entry process?
- What does the Quit link do?

- You will also see checkboxes asking you to indicate whether the license for the station is:
 - subject to a non-final revocation order;
 - has expired or been cancelled and is now subject to a non-final license cancellation order; and,
 - for a Class A station, whether the license is subject to a non-final downgrade order.
- You should check all that apply
- Checking any of these boxes will require the applicant to certify that it agrees with the Commission's procedures to withhold any incentive payment for the station pending the final outcome of the relevant proceeding

Relinquishment Options

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes: 01/12/2016 06:00 PM ET | Status: **Not Submitted**

Applicant: | Attachments | Print | Help | Quit

Station Selection

* indicates required field

Relinquishment Options for 334 (K20JX-D) [Help](#)

* Which of the following relinquishment options would you like to have the ability to bid on in the reverse auction? Please note that options that you do not select **will not** be available for you to bid on in the reverse auction.

- Go Off-Air
- Move to High-VHF Channel
- Move to Low-VHF Channel

« Back | Save | **Save & Continue »**

APPLICATION SECTIONS

- Applicant Information
- Station Selection**
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- How many relinquishment options can I select?

You are not obligated to bid on relinquishment options selected on the FCC Form 177

BUT

If you do not select a particular option for the selected station prior to the deadline on Jan. 12, 2016, that option will not be available for you to bid on during the auction—either as your initial commitment or in the clock bidding rounds for that station

A Spotlight on Relinquishment Options

- You cannot add a relinquishment option after the FCC Form 177 filing deadline of 6 PM ET, Jan. 12, 2016
- Example: If an applicant selects only “Go Off-Air” on its auction application for a UHF station, “Go Off-Air” will be the only bid option available to that applicant for that station. It will not be able to bid to “Move to Low-VHF” or “Move to High-VHF” as an initial commitment or during the clock phase of the auction.
- Example: If an applicant selects only “Move to High-VHF” on its auction application for a UHF station, “Move to High-VHF” will be the only bid option available to that applicant for that station. It will not be able to commit to “Go Off-Air” as a fallback option when it makes an initial commitment for that station.

A Spotlight on Relinquishment Options (continued)

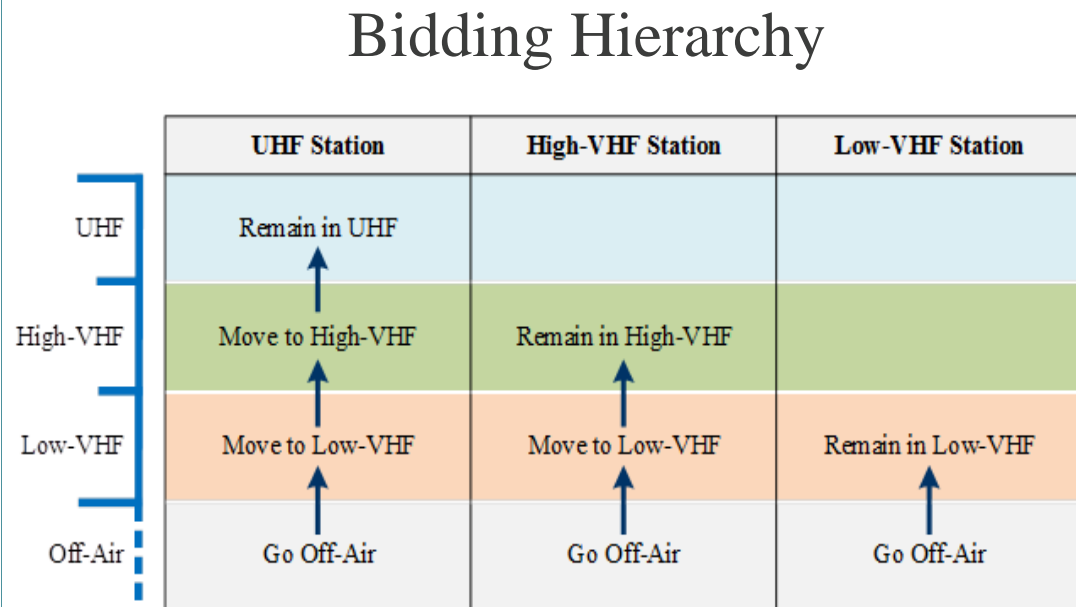
- You are not required to bid for the options you select on the FCC Form 177
 - You will not be committed to relinquish any spectrum usage rights unless you choose to make an initial commitment prior to the deadline on March 29, 2016
- Example: An applicant for a UHF station selects all of its available relinquishment options on its FCC Form 177: “Go Off-Air,” “Move to High-VHF,” and “Move to Low-VHF.” That applicant later decides that it does not want to relinquish its spectrum usage rights at the opening price for any option. The applicant does not make an initial commitment for that station. The station will be subject to repacking in its pre-auction band.

Bidding Hierarchy – A Reminder

In the Bidding Procedures PN, released Aug. 11, 2015, the Commission adopted a bidding hierarchy for the relinquishment options

If you don't select an option on the FCC Form 177 for a particular station, it will not be available to bid on

Remember, if you are planning to bid for multiple options in the auction, you cannot go backwards in the bidding hierarchy



Pending Proceedings

Auction 1001

Sample Reverse Auction (Initial Window)

Initial Filing Closes

Applicant: 01/12/2016 06:00 PM ET

Status:

Not Submitted

Station Selection

Attachments

Print

Help

Quit

* indicates required field

Relinquishment Options for 915 (TEST-1)

Help

* Which of the following relinquishment options would you like to have the ability to bid on in the reverse auction? Please note that options that you do not select will not be available for you to bid on in the reverse auction.

Go Off-Air

* Will the applicant control another broadcast station after the auction if it becomes a winning bidder for all of its stations for which it selects Off-Air as a relinquishment option?

Yes No

Move to High-VHF Channel

Move to Low-VHF Channel

Back

Save

Save & Continue

APPLICATION SECTIONS

Applicant Information

Station Selection

Ownership

Summary

Certify & Submit

COMMON QUESTIONS

- Are all of my stations shown?
- Can a station selection be changed after the initial filing deadline?
- When is my data saved during the data entry process?
- What does the Quit link do?

If an applicant selects “Go Off-Air” it must also indicate whether the applicant itself will continue to control another broadcast station after the auction if it wins all of its “Go Off-Air” bids

The FCC Form 177 will provide the applicant with certain required certifications based on its response

An applicant must accept responsibility for any liabilities to the Commission with respect to its licenses and submit to the Commission's jurisdiction, whether or not it relinquishes the licenses and whether or not it remains a licensee

Channel Sharing Agreements (CSAs)

You will be asked whether the station has a present intent to enter into a channel sharing agreement (CSA) as a sharee after the auction

You will also be asked whether the station has entered into one or more executed CSAs as a sharee

The questions and answers to them are independent of one another

If the station is a sharee in one or more executed CSAs, you will be asked to provide information about all potential channel sharing partners for each executed CSA

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET

Status:
Not Submitted

Attachments

Station Selection

Channel Sharing Agreement for 915 (TEST-1) [Help](#)

* Does the station have a present intent to enter into a channel sharing agreement for this station as a sharee after the incentive auction?

Yes No

* Have you entered into one or more executed channel sharing agreement(s) for this station as a sharee?

Yes No

⚠ Note: An applicant that answers "yes" to either of these channel sharing questions should have selected the "Go Off-Air" relinquishment option.

Please provide information for each licensee with which you have an executed channel sharing agreement for this station as a sharee. Click the "Add" button to add each licensee and its station information.

* Licensee Name:

* Facility ID:

Channel Sharer Licensee Information

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: 01/12/2016 06:00 PM ET Initial Filing Closes Status: Not Submitted

Station Selection Attachments Print Help Quit

Channel Sharing Agreement for 915 (TEST-1) Help

* Does the station have a present intent to enter into a channel sharing agreement for this station as a sharee after the incentive auction?
 Yes No

* Have you entered into one or more executed channel sharing agreement(s) for this station as a sharee?
 Yes No

⚠ Note: An applicant that answers "yes" to either of these channel sharing questions should have selected the "Go Off-Air" relinquishment option.

Please provide information for each licensee with which you have an executed channel sharing agreement for this station as a sharee. Click the "Add" button to add each licensee and its station information.

* Licensee Name:

* Facility ID:

Licensee Name	Call Sign	Facility ID	Channel Number	Actions
Test Licensee	TEST-4	520	49	<input type="button" value="Edit"/> <input type="button" value="Delete"/>

You must enter the licensee name and facility ID number for all potential channel sharing partners to any executed CSA

- This includes any back-up (contingent) CSA, if executed

The call sign and channel number of each licensee will auto-fill once you provide the station's facility ID number

Channel Sharing Attachments

For each executed CSA, the applicant must upload two things:

1. An unredacted copy of the agreement
2. Certifications by all potential host stations, known as channel sharers

The executed CSA filed with the FCC Form 177 will not be public

Note: The auction algorithm does not have access to nor does it take into account any terms of a CSA

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: | Initial Filing Closes: 01/12/2016 06:00 PM ET | Status: Not Submitted

Attachments | Print | Help | Quit

Station Selection

* indicates required field

Station Attachments for 915 (TEST-1) [Help](#)

For each executed channel sharing agreement for this station as a sharee, the applicant is required to upload an unredacted copy of the executed channel sharing agreement. Additionally, with respect to each Channel Sharer, the applicant is required to upload a document containing the certifications made by that Channel Sharer.

* Type:

* Select file: 10MB max. / filename: 232 max. char.

* Description:
60 max. char.

Current Attachments

File Name	Type	Description	Date Uploaded	Upload Status	Actions
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Federal Communications Commission

CSAs For Commonly-Held Stations

An applicant-sharee that intends to channel share with a host station with which it is commonly-owned or controlled may upload a statement demonstrating that the channel sharing stations are commonly-held in place of an executed channel sharing agreement

Such a statement must still be uploaded under the “Channel Sharing Agreement” type or the applicant-sharee will see an error when it tries to certify and submit its application

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET

Status: **Not Submitted**

Station Selection [Attachments](#) [Print](#) [Help](#) [Quit](#)

** indicates required field*

Station Attachments for 915 (TEST-1) [Help](#)

For each executed channel sharing agreement for this station as a sharee, the applicant is required to upload an unredacted copy of the executed channel sharing agreement. Additionally, with respect to each Channel Sharer, the applicant is required to upload a document containing the certifications made by that Channel Sharer.

* Type:

* Select file:
Channel Sharer Certification 2 max. char.
Channel Sharing Agreement

* Description:
60 max. char.

Current Attachments

File Name	Type	Description	Date Uploaded	Upload Status	Actions
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Channel Sharer Certifications

Channel Sharer Certifications for Full Power Station Sharer

Licensee Name, Call Sign and Facility ID of Channel Sharer: _____

Licensee Name, Call Sign, and Facility ID of each Channel Sharee: _____

On behalf of the Channel Sharer, I certify the following:

1. that the channel sharing agreement is consistent with all Commission rules and policies, and that the Channel Sharer accepts any risk that the implementation of the channel sharing agreement may not be feasible for any reason, including any conflict with requirements for operation on the shared channel;
2. that the proposed channel sharing arrangement will not violate the multiple ownership rules, set forth in 47 C.F.R. § 73.3555, based on the facts at the time the application is submitted; and
3. that the channel sharing agreement submitted by the Channel Sharee reverse auction applicant(s) is a true, correct, and complete copy of the channel sharing agreement between the parties.

I declare, under penalties of perjury, that I am an authorized representative of the above named Channel Sharer, that I have read the instructions and the foregoing certifications and all matters and things stated in the channel sharing agreement and attachments are true and correct.

Printed Name: _____

Title / Relationship to Channel Sharer Licensee: _____

Signature: _____

Date: _____

- Channel sharers (hosts) must fill out the certifications template
 - Use Attachment 2 of the [FCC Form 177 Instructions PN for Full-Power Certifications](#) template
 - Use Attachment 3 of the [FCC Form 177 Instructions PN for Class-A Certifications](#) template
- Sharer should provide the signed certifications to the Sharee-Applicant
- Sharee-Applicant must upload channel sharer certifications for each potential channel sharer (host) in each executed CSA as part of its application

Channel Sharing Communications

What is the Channel Sharing Exception to the Prohibited Communications Rule?

- Applicants that file their executed CSA with their application may communicate with their channel sharing partner(s) regarding bids and bidding strategies for the specific stations subject to their agreement during the quiet period (between Jan. 12, 2016 and the end of the Incentive Auction, which will be announced by public notice)
- Stations that have not executed an agreement prior to the start of the quiet period may not continue to communicate regarding bids or bidding strategies after the filing deadline at 6 PM ET on Jan. 12, 2016
- Applicants that have a present intent to channel share after the auction but who do not submit an executed CSA prior to the filing deadline do not qualify for the exception
- If parties to an executed CSA do not intend to take advantage of the exception, the applicant may so indicate in an attachment to its FCC Form 177

Station Selection: Error Summary

- Once you have completed all of the station selection screens, you will see an Error Summary for that station
- All errors must be corrected before you can complete the required station certifications
- Each station that you select on your FCC Form 177 must be error-free and certified in order for you to submit your application

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: Initial Filing Closes **01/12/2016 06:00 PM ET** Status: **Not Submitted**

Station Selection

Attachments Print Help Quit

Please review your station selection information before submitting.
You have provided information in each of the categories listed under Station Selection. If you need to address errors or update the information you have provided, use the links listed in the table on this page.

Application Sections:
Applicant Information
Station Selection
Ownership
Summary
Certify & Submit

Common Questions:
When is my data saved during the data entry process?
What does the Quit link do?

Error Summary for 520 (TEST-4) Help

Choose a heading listed below to review and navigate to sections containing warnings or errors. All errors must be corrected before final submission.

Station Information ✘ 1 error(s) detected.

Page ▲	Field ⇅	Error Type ⇅	Error Description ⇅
Relinquishment Options	Relinquishment Options Error	✘ Error	You must select at least one Relinquishment Option.

« Back Continue »

Channel Sharing Sharee Certifications

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: Initial Filing Closes: Status:
01/12/2016 06:00 PM ET Not Submitted

Station Selection [Attachments](#)

** indicates required field*

Channel Sharing Agreement Certifications For 915 (TEST-1) [Help](#)

By clicking the 'Certify' button below, I certify the following:

1. That the channel sharing agreement is consistent with all Commission rules and policies, and that the applicant accepts any risk that the implementation of the channel sharing agreement may not be feasible for any reason, including any conflict with requirements for operation on the shared channel;
2. That the applicant's operation from the shared channel facilities will not result in a change to its Designated Market Area;
3. That the proposed channel sharing arrangement will not violate the multiple ownership rules, set forth in 47 C.F.R. § 73.3555, based on the facts at the time the application is submitted; and
4. That the applicant can meet the community of license coverage requirement set forth in 47 C.F.R. § 73.625(a) from the shared channel facilities or, if not, that the new community of license for its shared channel facilities either meets the same or a higher allotment priority as its current community; or if no community meets the same or higher allotment priority, provides the next highest priority.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the station specified above, that I have read the instructions and the foregoing certifications and all matters and things stated in the channel sharing agreement and attachments are true and correct.

* First Name:

Middle Initial:

* Last Name:

Suffix:

Signature:

* Title:

* Attachments: I certify that this application includes all required Channel Sharing attachments.

[« Back](#) [Summary Page](#) [Continue »](#) [Certify](#)

- Once the station summary is free of errors, the applicant will be directed to the station certification screens
- If an applicant-sharee indicated in its station selection responses that the station has entered into an executed CSA, it will need to make the required sharee certifications on the Channel Sharing Agreement Certifications screen
 - An applicant that did not so indicate will not see the Channel Sharing Certifications screen for that station

Station Specific Certifications

Auction 1001

Sample Reverse Auction (Initial Window)

Applicant: Initial Filing Closes: Status:
01/12/2016 06:00 PM ET Not Submitted

Station Specific Certifications for 520 (TEST-4)

[Help](#)

By clicking the 'Certify' button below, I certify the following:

1. that, pursuant to 47 C.F.R. § 1.2204(c)(3)(iii), the applicant is and will remain in compliance with the ongoing statutory eligibility requirements to remain a Class A station.
2. that, pursuant to 47 C.F.R. § 1.2204(c)(3)(vi), the applicant agrees with the Commission's announced procedures to withhold all of any incentive payment for the station pending the final outcome of the proceeding or order.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the station specified above, that I have read the instructions and the foregoing certification(s) and all matters and things stated in the station information and attachments are true and correct.

Signature

* First Name:

Middle Initial:

* Last Name:

Suffix:

Signature:

* Title:

Applicant Information
Station Selection
Ownership
Summary
Certify & Submit

COMMON QUESTIONS

- 1. Is it necessary to read all of these certifications?
- 2. What if I certify and any of these certifications are false?
- 3. What is a suffix?
- 4. Who is authorized to certify?
- 5. When is my data saved during the data entry process?
- 6. What does the Quit link do?

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[Certify](#)

- The FCC's rules require several station specific certifications
- This is an example—the certifications that will appear on your application will vary based on your answers to the questions in the application
- The certifications must be certified to by a person with the authority to bind the applicant

Stations Selected

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: **01/12/2016 06:00 PM ET** | Status: **Not Submitted**

Station Selection

[Attachments](#) [Print](#) [Help](#) [Quit](#)

The applicant must provide the information for each broadcast station for which it would like to become qualified to submit bids for in the reverse auction.

Associated Stations

[Help](#)

Listed below are the full power and Class A television stations associated with your FRN. Select one or more of the available stations below to add to this application.

Facility ID	Call Sign	Actions
936	TEST-2	Select

Stations Selected

[Help](#)

Listed below are the stations which you've selected so far. Would you like to edit or remove any of the stations selected?

Facility ID	Call Sign	Status	Actions
429	TEST-3	⚠ Not Certified	Open Remove
520	TEST-4	✅ Certified	Open Remove
915	TEST-1	⚠ Not Certified	Open Remove

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APPLICATION SECTIONS

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[Certify & Submit](#)

COMMON QUESTIONS

- ⚠ Are all of my stations shown?
- ⚠ Can a station selection be changed after the initial filing deadline?
- ⚠ When is my data saved during the data entry process?
- ⚠ What does the Quit link do?

- Once an applicant completes the required certifications for a station, the FCC Form 177 will return the applicant to the Station Selection Screen
- A red exclamation point indicates that a station has not been certified
 - In order to be able to submit your application, you will need to either complete the station information and certifications, or “Remove” the station

Ownership

- This is the first in the ownership section of the form
- To add disclosable interest holder (“DIH”) information, you click the add button, which will open a series of screens where you can provide information for that particular disclosable interest holder

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: **01/12/2016 06:00 PM ET** Status: **Not Submitted**

[Attachments](#) [Print](#) [Help](#) [Quit](#)

Ownership

View/Edit Ownership Disclosure Information [Help](#)

Disclosable Interest Holders of this Applicant

You currently have specified 0 Disclosable Interest Holder(s)

[Add](#)

[Back to Top](#) ↑

FCC Regulated Businesses of this Applicant

You currently have specified 0 FCC Regulated Business(es)

[Add](#)

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[Save](#)

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APPLICATION SECTIONS

[Applicant Information](#) ◀

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COMMON QUESTIONS

[? What FCC Regulated](#)

Disclosable Interest Holders

For purposes of the FCC Form 177, as laid out in Sections 1.2112(a)(1)-(6) and 1.2204(c)(1), a disclosable interest holder (DIH) includes real party(ies)-in-interest and any individual or entity that directly or indirectly controls and/or holds certain ownership interests including, but not limited to:

- any party holding 10 percent or more of stock in the applicant;
- in the case of a limited partnership, each limited partner whose interest in the applicant is 10 percent or greater;
- in the case of a general partnership, each partner;
- in the case of an limited liability company, each member whose interest in the applicant is 10 percent or greater;
- in the case of an NCE station, each member of the governing board and any educational institution or governmental entity with a controlling interest in the applicant;
- all parties holding indirect ownership interests in the applicant that equal 10 percent or more.

Disclosable Interest Holders (continued)

- Form 177 disclosure requirements differ in certain respects from those in broadcast ownership reports and licensing, assignment and transfer applications.
- Specifically, in the Form 177 you must disclose:
 - Parties holding 10 percent or more of the voting or nonvoting stock in the applicant;
 - Passive investors (even if they have an interest less than 20 percent) if they otherwise meet the disclosure threshold;
 - Individuals or entities with otherwise disclosable interests even where a single majority shareholder exists;
 - Parties insulated from attribution under the broadcast ownership rules if they otherwise meet the disclosure requirement threshold.
- Provision of a DIH's FRN is not required.

Types of Disclosable Interest Holders

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: DB Licensee LLC Initial Filing Closes: 01/12/2016 06:00 PM ET Status: Not Submitted

Attachments Print Help Quit

Ownership

At least one selection is required.

Add Disclosable Interest Holder Type: DB Holdings LLC Help

Select type of Interest in Applicant. Check all that apply.

- Direct Ownership Interest in Applicant
- Indirect Ownership Interest in Applicant
- Officer
- Director
- Key Management Personnel
- Management Contract
- Other

« Back Return to Detail Save Save & Continue »

APPLICATION SECTIONS

- Applicant Information ◀
- Station Selection
- ➔ Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

Am I required to provide an "Indirect Ownership" attachment if I include one or more DIH(s) with an indirect ownership interest in the applicant?

- Disclose officers, directors, key management personnel, or management contract only where the DIH has an interest in the applicant that requires disclosure under the rules
- For example, an officer who controls the applicant or has a 10% or greater ownership interest in the applicant would report both interests.
- The category “Other” enables the applicant to disclose required interests not reflected in the Form 177. When “Other” is checked, a brief description can be provided in the available text box

Types of Disclosable Interest Holders (continued)

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: DB Licensee LLC Initial Filing Closes: 01/12/2016 06:00 PM ET Status: Not Submitted

Attachments Print Help Quit

Ownership

* indicates required field.

Add Disclosable Interest Holder Type Continued: DB Holdings LLC Help

* Select type of Ownership Interest in Applicant. Check all that apply.

- Common Stock
- General Partnership Shares
- Limited Partnership Shares
- Membership Shares
- Option to Acquire Equity
- Proprietorship
- Preferred Stock
- Warrant
- Other

« Back Return to Detail Save Save & Continue »

APPLICATION SECTIONS

- Applicant Information
- Station Selection
- Ownership**
- Summary
- Certify & Submit

COMMON QUESTIONS

- Must I disclose all parties with the any of the listed interests?
- When is my data saved during the data entry process?
- What does the Quit link do?

- For “Disclosable Interest Holder Type Continued” the applicant should only make disclosures for a DIH that has an interest in the applicant that requires disclosure under the rules
- It should disclose options to acquire equity and warrants only where the DIH has a present interest in the applicant that requires disclosure under the rules

Disclosable Interest Holder Information

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: DB Licensee LLC Initial Filing Closes: 01/12/2016 06:00 PM ET Status: Not Submitted

Attachments Print Help Quit

Ownership

** indicates required field*

Add Disclosable Interest Holder Information: DB Holdings LLC Help

* Percent of Interest Held in Applicant: %

* Was the DIH formed in a jurisdiction inside the United States?
 Yes No

* Jurisdiction of Formation: (U.S.)

APPLICATION SECTIONS

- Applicant Information
- Station Selection
- Ownership**
- Summary
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COMMON QUESTIONS

- How is the percentage of ownership held by an indirect owner determined?
- What if ownership percentages add up to more than 100?
- What is Jurisdiction for Formation?
- What should the "Percent of Interest Held in Applicant" reflect?
- When is my data saved during the data entry process?

- DIHs with no equity or other relevant ownership interest in the applicant (such as an NCE board member) should put “0” in the “Percent of Interest” field.
- Information provided in the “Percent of Interest” field for each DIH should reflect its aggregate ownership interests in the applicant (both direct and indirect).

Indirect Disclosable Interest Holders

To determine whether you need to report an individual or entity as an indirect DIH:

- Successively multiply the ownership percentages for each link in the vertical ownership chain that equals 10 percent or more of the applicant;
- If the ownership percentage for an interest in the chain exceeds 50 percent or represents actual control (e.g., *de facto* control):
 - Treat it and report it as if it were a 100 percent interest
 - In some cases, the sum of all the percentages held by DIHs may exceed 100 percent

Indirect Disclosable Interest Holders—Attachment

If you add an indirect ownership interest, you must submit an “Indirect Ownership” attachment or you will receive an error when you try to submit your application

The attachment may include any additional information you believe will assist Commission staff in reviewing the ownership information

- For example, if the disclosed equity interests in a corporate applicant do not equal 100% because numerous shareholders have interests below the 10% threshold, you can explain this in the attachment
- It can also include an ownership chart

However, you are not required to provide additional information in the “Indirect Ownership” attachment

- If you choose not to provide additional information, you should upload an “Indirect Ownership” attachment to the application that states: “Not applicable”

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes: 01/12/2016 06:00 PM ET
Status: Not Submitted

Application Attachments

Channel sharing attachments should be submitted as a station attachment in the Station Selection section.

* indicates required field

Attachments

* Type: Select...
Select...
Indirect Ownership
Other
Waiver

* Select file: [File Selection] (Filename: 232 max. char.)

* Description: [Text Area] (60 max. char.)

Upload File Cancel

Current Attachments

File Name	Type	Description	Date Uploaded	Upload Status	Actions
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Back Continue

APPLICATION SECTIONS

- Applicant Information
- Station Selection
- Ownership
- Summary
- Certify & Submit

COMMON QUESTIONS

- Am I required to provide an "Indirect Ownership" attachment if I include one or more DIH(s) with an indirect ownership interest in the applicant?
- What are the "Types" of Attachments?
- Why can't I view or print a PDF of my channel sharing attachments from the Print screen?
- When is my data saved

FCC Regulated Businesses

- The Form asks each DIH to complete information about any other “FCC Regulated Business” (FRB) in which the DIH has an interest.
- Completion of fields related to FRBs is not required and they may be left blank.

Summary: Application Level Error Summary

Auction 1001
Sample Reverse Auction (Initial Window)
Summary

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET Status: Not Submitted
Attachments Print Help Quit

Error Summary

Choose a heading listed below to review and navigate to sections containing warnings or errors. All errors must be corrected before final submission.

View All

Hide All

Applicant Information ✖ 1 error(s) detected.

Page	Field	Error Type	Error Description
Applicant Name	Applicant Zip Code	✖ Error	Required Question - Please Respond.

Station Selection ✖ 1 error(s) detected.

Page	Field	Error Type	Error Description
Select Stations	Page Error	✖ Error	Facility ID: 429 (TEST-3) This station must be certified.

Ownership ⚠ 1 warning(s) detected.

Page	Field	Error Type	Error Description
View/Edit Ownership Disclosure Information	Page Error	⚠ Warning	No Disclosable Interest Holder (DIH) information has been provided

Summary ✔ No errors.

Certify & Submit ✔ No errors.

APPLICATION SECTIONS

Applicant Information

Station Selection

Ownership

➔ Summary

Certify & Submit

COMMON QUESTIONS

- What is the difference between a warning and an error?
- When is my data saved during the data entry process?
- What does the Quit link do?

- You cannot submit your application if there are errors
- You may submit your application if there are warnings
- Until you have clicked the CERTIFY & SUBMIT button and received a confirmation number, your application has not been submitted

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Continue to Certify »

Summary: Application Level Error Summary

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: 01/12/2016 06:00 PM ET Status: **Not Submitted**

Attachments Print Help Quit

Summary

Please review your application before submitting.

You have provided information in each of the categories listed under the Application Sections. If you need to address errors or update the information you have provided, use the links under the Application Section.

Error Summary

Help

Choose a heading listed below to review and navigate to sections containing warnings or errors. All errors must be corrected before final submission.

Applicant Information ✓ No errors.

Station Selection ✓ No errors.

Ownership ✓ No errors.

Summary ✓ No errors.

Certify & Submit ✓ No errors.

APPLICATION SECTIONS

Applicant Information

Station Selection

Ownership

Summary

Certify & Submit

COMMON QUESTIONS

What is the difference between a warning and an error?

When is my data saved during the data entry process?

What does the Quit link do?

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Continue to Certify »

- Once you have reviewed your application and fixed the errors, you may Continue to Certify
- Just because the FCC Form 177 did not find errors does not mean that the application is complete. Commission staff will review for completeness

Red Light Rule

- The red light rule is waived for the limited purpose of permitting any eligible broadcast licensee that is red lighted for debt owed to the Commission at the time it submits its FCC Form 177 to participate in the reverse auction
- Each reverse auction applicant must make several certifications concerning any debt owed by the applicant to the Commission
- We advise applicants to determine whether they owe debt to the Commission, and to do so periodically during the incentive auction
- We also encourage applicants to resolve all outstanding debts to the Commission as soon as possible to avoid the accrual of interest, penalties, and costs on unpaid debt
- The Commission's Red Light Display system is accessible online at <https://apps.fcc.gov/redlight/login.cfm>

Certify & Submit

Auction 1001
Sample Reverse Auction (Initial Window)

Applicant: | Initial Filing Closes: **01/12/2016 06:00 PM ET** | Status: **Not Submitted**

By clicking the "Certify & Submit" button below, I, the individual identified as the Applicant's certifying representative below, declare under penalties of perjury that, based on all the information available to the Applicant, all the information provided in or with this application, including the certification statements above, are true and correct.

If you do not see and click this button, you have not submitted your application!

Signature

* First Name:

Middle Initial:

* Last Name:

Suffix:

Signature:

* Title:

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Summary Page

Certify & Submit

Confirmation of Submission

Auction 1001

Sample Reverse Auction (Initial Window)

Initial Filing Closes
Applicant: | **01/12/2016 06:00 PM ET** | Status: **Submitted**

Certify & Submit

[Attachments](#) [Print](#) [Help](#)

Confirmation of Submission

Your Auction Application has been received on 11/06/2015 10:48:46 PM ET.

- Your file number is 0002959300.
- Please print your application for your records.

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[Auction Application Manager](#)

APPLICATION SECTIONS

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[➔ Certify & Submit](#)

COMMON QUESTIONS

🔍 How can I get a copy of the information I submitted?

🔍 When is my data saved during the data entry process?

🔍 What does the Quit link do?

- Upon the successful submission of your application you will receive a unique confirmation number as well as the date and time of submission
- Applications must be submitted **prior to 6:00 PM ET on Tuesday, January 12, 2016**
- Late applications will not be accepted

Changing a Submitted Application Prior to Jan. 12

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes | Status: **Submitted**
Applicant: **01/12/2016 06:00 PM ET**

Attachments Print Help Quit

Ownership

⚠ Your changes have been saved but NOT SUBMITTED. In order for your changes to take effect, you must Certify and Submit your application.

View/Edit Ownership Disclosure Information [Help](#)

Disclosable Interest Holders of this Applicant [Add](#)
You currently have specified 1 Disclosable Interest Holder(s)

DIH # 1
You currently have specified 0 FCC Regulated Business(es) held by this Disclosable Interest Holder
[Add Business](#)

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FCC Regulated Businesses of this Applicant [Add](#)
You currently have specified 0 FCC Regulated Business(es)

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[« Back](#) [Save](#) [Continue »](#)

APPLICATION SECTIONS

- Applicant Information
- Station Selection
- Ownership**
- Summary
- Certify & Submit

COMMON QUESTIONS

- What FCC Regulated Business (FRB) information must be provided?
- What is a Disclosable Interest Holder (DIH)?

You may make an unlimited number of changes to your application prior to 6 PM ET, Jan. 12, even if you have already submitted the application

Remember, the information in the application is not submitted until you have clicked the CERTIFY & SUBMIT button and received a confirmation of submission

Changing a Submitted Application Prior to Jan. 12

Auction 1001
Sample Reverse Auction (Initial Window)

Initial Filing Closes: 01/12/2016 06:00 PM ET | Status: **Submitted**

Applicant: | Attachments | Print | Help | Quit

Ownership

View/Edit Ownership Disclosure Information [Help](#)

Disclosable Interest Holders of this Applicant [Add](#)

You currently have specified 1 Disclosable Interest Holder(s)

DIH # 1

You currently have specified 0 FCC Regulated Business(es) held by this Disclosable Interest Holder

[Add Business](#)

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FCC Regulated Businesses of this Applicant [Add](#)

You currently have specified 0 FCC Regulated Business(es)

[Back to Top](#)

[« Back](#) [Save](#) [Continue »](#)

If you make changes to a submitted application before the deadline, you must click **Certify & Submit** to submit those changes

The status will continue to read “Submitted,” but the new changes will not be included in your application unless you click **Certify & Submit** on the Certify and Submit page and receive a new confirmation of submission

Once you do that, the yellow warning will disappear

Duty to Maintain Accuracy and Completeness

- Pursuant to sections 1.65 and 1.2204(d)(5) of the Commission's rules, each applicant has a continuing obligation to maintain the accuracy and completeness of information in a pending application to participate in the reverse auction
- An applicant must furnish additional or corrected information to the Commission within five days after a "significant" occurrence, or amend its FCC Form 177 no more than five days after the applicant becomes aware of the need for the amendment
- Per section 1.65, "significant" or "substantial" changes require updating and the same standard applies under 1.2204(d)(4) and (5)

Contact Information

FCC Auctions Hotline General Auction Questions Auction Process and Procedures	(888) 225-5322, option two; or (717) 338-2868 Hours of service: 8:00 a.m. – 5:30 p.m. ET, Monday through Friday
Auctions and Spectrum Access Division, Wireless Telecommunications Bureau For general auction questions: For Auction 1001 (reverse) auction legal questions:	Linda Sanderson at (717) 338-2868 Erin Griffith or Kathryn Hinton at (202) 418-0660
Technical Support Electronic Filing FCC Auction System (Hardware/Software Issues)	(877) 480-3201, option nine; or (202) 414-1250 (202) 414-1255 (TTY) Hours of service: 8:00 a.m. – 6:00 p.m. ET, Monday through Friday

Questions?

Send questions to auction1001@fcc.gov