**Approved by OMB**

**3060-1122**

**Expires: March 31, 2025**

**Estimated time per response: 10-55 hours**

Annual Collection of Information

Related to the Collection and Use of 911 and E911 Fees by States and Other Jurisdictions

Pursuant to OMB authorization 3060-1122, the FCC’s Public Safety and Homeland Security Bureau (the Bureau) seeks the following specific information in order to fulfill the Commission’s obligations under Section 6(f)(2) of the NET 911 Act, as amended by Section 902.[[1]](#footnote-2)

**Instructions for Filling Out the Questionnaire**

**Please read and follow these general instructions:**

* Please complete all sections of this form.
* Please enter only numeric responses where requested.
	+ Dollar or percentage signs, decimal points, and thousands separator commas are acceptable.
	+ Blank responses, “None”, “Unknown”, or “N/A” are also acceptable.
	+ To facilitate the Bureau’s calculations for the Annual Fee Report, please avoid stray characters such as: \*, ~, (), or [] in numeric responses.
* Use the associated Addendum fields to enter other information, such as footnotes, qualifiers, text, descriptions, and/or explanations.
* All responses should pertain to calendar year (January 1 – December 31), not fiscal year.
* Unless otherwise directed, please provide requested information directly on this form, rather than submit, refer to, and/or rely on supplemental materials.
* Please consolidate separate response forms (and/or responses to individual questions) completed by counties, municipalities, or other local jurisdictions into one response form for the entire state, using sums and averages as appropriate.
1. **Filing Information**

**A1. Name of State or Jurisdiction**

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| **State or Jurisdiction** |
| MN |

**A2. Name, Title and Organization of Individual Filing Report**

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| **Name** | **Title** | **Organization** |
| Claire Thomas | Business Manager | MN Department of Public Safety/Emergency Communication Networks Division |

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| **Addendum Section A** |
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1. **Overview of State or Jurisdiction 911 System**

**B1. Please provide the total number of active primary and secondary Public Safety Answering Points (PSAPs) in your state or jurisdiction that received funding derived from the collection of 911/E911 fees during the annual period ending December 31, 2021. PSAPs that did not receive funding derived from the collection of 911/E911 fees need not be included in the response boxes, but may be reported in Addendum Section B1.**

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| **PSAP Type[[2]](#footnote-3)** | **Number of PSAPs** |
| Primary | 96 |
| Secondary | 7 |
| **Total** | 103 |

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| **Addendum Section B1** |
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**B2. Please provide the total number of active telecommunicators[[3]](#footnote-4) in your state or jurisdiction that were funded through the collection of 911 and E911 fees during the annual period ending December 31, 2021. Telecommunicators that were not funded through the collection of 911 and E911 fees need not be included in the response boxes, but may be reported in Addendum Section B2.**

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| **Telecommunicator Type** | **Number of Active Telecommunicators Funded by 911/E911 Fees** |
| Full Time | 0 |
| Part Time | 0 |

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| **Addendum Section B2** |
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**B3. For the annual period ending December 31, 2021, please provide an estimate of the total cost to provide 911/E911 service in your state or jurisdiction.**

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| **Amount ($)** |  $32,983,682.00      |

**B3a. If an amount cannot be provided, please explain why.**

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| **Addendum Section B3** |
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**B4. Please provide the total number of 911 voice calls that your state or jurisdiction received during the period January 1, 2021 to December 31, 2021.**

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| **Type of Service** | **Total 911 Voice Calls** |
| Wireline | 292,632 |
| Wireless  | 2,536,160 |
| VoIP | 149,879 |
| Other (report 911 texts separately below in B.4a) | 20 |
| **Total** | 2,978,691 |

**B4a. Please provide the total number of 911 texts that your state or jurisdiction received during the period January 1, 2021 to December 31, 2021.**

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| Texts to 911 | 9,462 |

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| **Addendum Section B4** |
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1. **Description of Authority Enabling Establishment of 911/E911 Funding Mechanisms**

**C1. Has your State, or any political subdivision, Indian Tribe, village or regional corporation therein as defined by Section 6(f)(1) of the NET 911 Act, established a funding mechanism designated for or imposed for the purposes of 911 or E911 support or implementation (please include a citation to the legal authority for such mechanism)?** *Check one.*

* Yes ………………….. [x]
* No ………………..….. [ ]

**C1a. If YES, provide a citation to the legal authority for such a mechanism.**

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| Per MN Statute §403.11 Subdivision 1. Emergency telecommunications service fee; account. (a) Each customer of a wireless or wire-line switched or packet-based telecommunications service provider connected to the public switch network that furnishes service capable of originating a 911 emergency telephone call is assessed a fee. |

**C1b. If YES to C1, during the annual period January 1, 2021 to December 31, 2021, did your state or jurisdiction amend, enlarge, or in any way alter the funding mechanism?** *Check one (leave blank if NO to C1).*

* Yes ………………….. [x]
* No ………………..….. [ ]
* Unknown ………..….. [ ]

**C1c. If YES to C1b., provide a description of amendments, enlargements, or alterations to the funding mechanism, if applicable.**

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| Fee Reduced October 2021 from .95 cents to .80 cents per subscriber line |

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| **Addendum Section C1** |
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**C2. Which of the following best describes the type of authority arrangement for the collection of 911/E911 fees**? *Check one*.

* The State collects the fees ………………………………….. [x]
* A local authority collects the fees ……………………….… [ ]
* A hybrid approach where two or more governing bodies

 (*e.g.*, state and local authority) collect the fees …………….. [ ]

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| **Addendum Section C2** |
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**C3. Describe how the funds collected are made available to localities.**

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| 911 emergency telecommunication service account funds are made available to localities as follows:• Minn. Stat. §403.025, Subd. 7 requires the Statewide 911 Program to contract for and provide the 911 telecommunication network elements (911 from wire-line switching offices, 911 routing and selective routing services, automatic location identification database) for counties and other governmental agencies operating Public Safety Answering Points (PSAP) within Minnesota and Minn. Stat. §403.11, Subd. 3 provides for the payment of those costs.• Minn. Stat. §403.025, Subd. 7 also requires the Statewide 911 Program to contract for 911 routing and network elements with wireless carriers and for the payment of those costs under Minn. Stat. §403.11, Subd.3.• Minn. Stat. §403.113, Subd. 2 requires a portion of the available funds to be distributed directly to state, local and tribal PSAP’s. Minn. Stat. §403.113, Subd. 3 defines the purposes funds distributed to state, local and tribal PSAP’s may be used.• Minn. Stat. §403.11, 403.113 and 403.30 provide for the use of funds by the Statewide 911 Program from the 911 emergency telecommunication service account to provide resources for localities, as follows:o Costs of ongoing maintenance and related improvements for trunking and central office switching equipment for 911 emergency telecommunication services;o Costs to operate the Division of Emergency Communication Networks;o Grants to provide assistance to counties for the improvement of local emergency telecommunication services;o To implement, operate, maintain, enhance and expand enhanced 911 services; andTo pay debt services upon revenue bonds authorized under Minn. Stat. §403.32 and §403.275 to provide the backbone for the statewide public safety radio communication system. NOTE: The debt services for the revenue bonds was paid off in 2021. This resulted in a 100% increase in funds distribured directly to state, local, and tribal PSAPs, and a .15 cent reduction in the 911 fee from .95 cents to .80 cents which went into effect on October 1, 2021.  |

1. **Description of State or Jurisdictional Authority That Determines How 911/E911 Fees are Spent**

**D1. Indicate which entities in your state have the authority to approve the expenditure of funds collected for 911 or E911 purposes.** *Check one*.

* The State has authority to approve the expenditure of funds ………………….….. [x]
* One or more local authorities has authority to approve the expenditure of funds… [ ]
* A hybrid approach where two or more governing bodies (e.g., state or local authority) have authority to approve the expenditure of funds ………………………………. [ ]

**D1a. Please briefly describe any limitations on the approval authority per jurisdiction (*e.g.*, limited to fees collected by the entity, limited to wireline or wireless service, etc.).**

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| All 911 fee revenues are deposited and maintained in the 911 emergency telecommunications service account. This account is a special revenue account where funds are carried over from year to year as provided in Minn. Stat. §403.11, Subd. 1(b). The Statewide 911 Program is administered by the Commissioner of Public Safety, who has authority to expend funds from the 911 emergency telecommunications service account as provided in Minn. Stat. §403. Minn. Stat.§ 403.06, Subd. 1(a) requires the Commissioner of Public Safety to prepare a biennial budget for maintaining the 911 system, report details of expenditures for maintaining the 911 system, 911 fees collected and balance of any funds remaining in the 911 emergency telecommunications service account.  |

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| **Addendum Section D1** |
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**D2. Has your state established a funding mechanism that mandates *how* collected funds can be used? *Check one*.**

* Yes ………………….. [x]
* No ………………..….. [ ]

**D2a.** **If you checked YES, provide a legal citation to the funding mechanism of any such criteria.**

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| With respect to funds allocated directly to local units of government, under Minn. Stat. §403.113, Subd. 2, funds must be expended in accordance with Minn. Stat. §403.113, Subd.3 and the local units of government are required to audit the use of those funds annually and to submit a copy of the audit to the Statewide 911 Program. |

**D2b.** **If you checked NO, describe how your state or jurisdiction decides how collected funds can be used.**

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1. **Description of Uses of Collected 911/E911 Fees**

**E1. Provide a statement identifying with specificity all activities, programs, and organizations for whose benefit your state, or political subdivision thereof, has obligated or expended funds collected for 911 or E911 purposes and how these activities, programs, and organizations support 911 and E911 services or enhancements of such services.**

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| Funds may be used by PSAPs to maintain and enhance public safety for public safety responders and citizens of Minnesota as follows: • Lease, purchase, lease-purchase, or maintain enhanced 911 telephone equipment• Lease, purchase, lease-purchase, or maintain enhanced 911 recording equipment• Lease, purchase, lease-purchase, or maintain enhanced 911 computer hardware• Computer hardware/software for database provisioning, addressing, mapping and any other software necessary for automatic phone and location identification• Trunk lines• Master Street Address Guide and Statewide geospatial dataset creation/aggregation/standardization• Dispatcher operational skills and equipment proficiency training• Equipment in the PSAP for community alert systems• Equipment necessary in the PSAP used to notify and communicate with emergency services requested by the 911 |

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| **E2. Please identify the uses of the collected funds.[[4]](#footnote-5) *Check all that apply*.** |
| **Type of Cost** | **Yes** | **No** |
| **PSAP operating costs, including technological innovation that supports 911** | Lease, purchase, maintenance, replacement, and upgrade of customer premises equipment (CPE) (hardware and software) | **[x]**  | **[ ]**  |
| Lease, purchase, maintenance, replacement, and upgrade of computer aided dispatch (CAD) equipment (hardware and software) | **[x]**  | **[ ]**  |
| Lease, purchase, maintenance, replacement, and upgrade of PSAP building/facility | **[ ]**  | **[x]**  |
| NG911, cybersecurity, pre-arrival instructions, and emergency notification systems (ENS) | **[x]**  | **[ ]**  |
| **PSAP personnel costs** | Telecommunicators’ Salaries | **[ ]**  | **[x]**  |
| Training of Telecommunicators | **[x]**  | **[ ]**  |
| **PSAP administrative costs** | Program Administration | **[x]**  | **[ ]**  |
| Travel Expenses | **[x]**  | **[ ]**  |
| **Costs for integration and interoperability of 911 systems and public safety/first responder radio systems** | Integrating public safety/first responder dispatch and 911 systems, including lease, purchase, maintenance, and upgrade of CAD hardware and software to support integrated 911 and public safety dispatch operations | **[ ]**  | **[x]**  |
| Providing for the interoperability of 911 systems with one another and with public safety/first responder radio systems | **[x]**  | **[ ]**  |
| **Grant programs** |  | **[x]** **If YES, see E2a.** | **[ ]**  |
| **E2a. During the annual period ending December 31, 2021, describe the grants that your state paid for through the use of collected 911/E911 fees and the purpose of such grants.** |
| According to Minn. Stat. §403.113, a portion of the fee collected must be used to fund implementation, operation, maintenance, enhancement, and expansion of enhanced 911 service, including acquisition of necessary equipment and the costs of the commissioner to administer the program. After payment of costs of the commissioner to administer the program, money collected shall be distributed as follows:(1) one-half of the amount equally to all qualified counties, and after October 1, 1997, to all qualified counties, existing ten public safety answering points operated by the Minnesota State Patrol, and each governmental entity operating the individual public safety answering points serving the Metropolitan Airports Commission, the Red Lake Indian Reservation, and the University of Minnesota Police Department; and(2) the remaining one-half to qualified counties and cities with existing 911 systems based on each county's or city's percentage of the total population of qualified counties and cities. The population of a qualified city with an existing system must be deducted from its county's population when calculating the county's share under this clause if the city seeks direct distribution of its share.(b) A county's share under subdivision 1 must be shared pro rata between the county and existing city systems in the county. A county or city or other governmental entity as described in paragraph (a), clause (1), shall deposit money received under this subdivision in an interest-bearing fund or account separate from the governmental entity's general fund and may use money in the fund or account only for the purposes specified in subdivision 3.(c) A county or city or other governmental entity as described in paragraph (a), clause (1), is not qualified to share in the distribution of money for enhanced 911 service if it has not implemented enhanced 911 service before December 31, 1998.(d) For the purposes of this subdivision, "existing city system" means a city 911 system that provides at least basic 911 service and that was implemented on or before April 1, 1993. |

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| **Addendum Section E2** |
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1. **Description of 911/E911 Fees Collected**

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| **F1. Please describe the amount of fees or charges imposed for the implementation and support of 911 and E911 services. Please distinguish between state and local fees for each service type.** |
| **Service Type** | **Fee/Charge Imposed** | **Jurisdiction Receiving Remittance***Check one for each Service Type.* |
| **State** | **County or Local Authority** | **Combination of State and County/Local** |
| **Wireline – monthly fee ($)** | $0.80 | **[x]**  | **[ ]**  | **[ ]**  |
| **Wireless – monthly fee ($)** | $0.80 | **[x]**  | **[ ]**  | **[ ]**  |
| **Prepaid Wireless – provide *either* flat fee ($) or percentage (%) per retail transaction** *(leave inapplicable cell blank)* | $0.80 | **[x]**  | **[ ]**  | **[ ]**  |
|      % |
| **Voice Over Internet Protocol (VoIP) – monthly fee ($)** | $0.80 | **[x]**  | **[ ]**  | **[ ]**  |
| **Other – monthly fee ($)** | $      | **[ ]**  | **[ ]**  | **[ ]**  |

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| **Addendum Section F1** |
| The amount of the Fee/Charge Imposed was $0.95 for the first three quarters of calendar year 2021. Effective October 2021 the Fee/Charge Imposed was reduced to $0.80.For Prepaid Wireless revenue, Retailers remit the Fee/Charge Imposed less 3% for collection administration. Minnesota Department of Revenue retains 2% of what they receive from retailers for administration and distribution to ECN. |

**F2. For the annual period ending December 31, 2021, please report the total amount collected pursuant to the assessed fees or charges described in Question F1.**

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| **Service Type** | **Total Amount Collected ($)** |
| Wireline | $17,140,135.94 |
| Wireless | $50,521,770.26 |
| Prepaid Wireless | $7,488,632.68 |
| Voice Over Internet Protocol (VoIP) | $1,444,674.98 |
| Other |       |
| **Total** | $76,595,213.86 |

**F2a. If an amount cannot be provided, please explain why.**

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| **Addendum Section F2** |
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**F3. Please identify any other sources of 911/E911 funding.**

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| NTIA/NHTSA Next Generation 9-1-1 grant administered through the National 9-1-1 office.  |

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| **Question** | **Yes** | **No** |
| **F4. For the annual period ending December 31, 2021, were any 911/E911 fees that were collected by your state or jurisdiction combined with any federal, state or local funds, grants, special collections, or general budget appropriations that were designated to support 911/E911/NG911 services?** *Check one.* | **[x]**  | **[ ]**  |
| **F4a.** **If YES, please describe the federal, state or local funds and amounts that were combined with 911/E911 fees.** |
| The State of Minnesota was awarded a grant (60% federal/40% state match) from NTIA/NHTSA for the implementation of next generation 9-1-1. Funds are primarily being used for GIS data preparation, CPE upgrades, 9-1-1 ingress network rehoming and a CAD-to-CAD feasibility study.  |

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| **Addendum Section F4** |
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| **F5. Please provide an estimate of the proportional contribution from each funding source towards the total cost to support 911 in your state or jurisdiction.** | **Percent (%)** |
| State 911 Fees | 100% |
| Local 911 Fees | 0% |
| General Fund - State | 0% |
| General Fund - County | PSAPs receive general funds from the county/municipality in which they operate to augment the annual distribution they receive from the state through 911 fees |
| Federal Grants | 4% |
| State Grants | 0% |

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| **Addendum Section F5** |
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1. **Description of Diversion or Transfer of 911/E911 Fees for Other Uses**

For the purposes of this questionnaire, diversion is the obligation or expenditure of a 911 fee or charge for a purpose or function other than the purposes and functions identified in 47 CFR § 9.23 of the Commission’s rules as acceptable.

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| **Question** | **Yes** | **No** |
| **G1. In the annual period ending December 31, 2021, were funds collected for 911 or E911 purposes in your state or jurisdiction obligated or expended solely for acceptable purposes and functions as provided under 47 CFR § 9.23?** *Check one*. | **[ ]**  | **[x]**  |
| **G1a.** **If NO, please identify what amount of funds collected for 911 or E911 purposes were obligated or expended for purposes or functions other than those designated as acceptable under 47 CFR § 9.23, including any funds transferred, loaned, or otherwise used for the state's general fund. Along with identifying the amount, please include a statement identifying the purposes or functions for such funds.** |
| **Amount of Funds ($)** | **Identify the purposes or functions other than those designated as acceptable by the Commission for which the 911/E911 funds were obligated or expended. (*If you need more rows for your response, please enter the information in Addendum Section G1.*)** |
| $683,000.00 | The Emergency Medical Services Regulatory Board (EMSRB) has been appropriated a total of $683,000 per year since 2005. $614,700 of that total is reallocated to the East and West Medical Resource Control Centers (MRCCs) which provide ambulance-to-hospital communications during patient transports. This legislative appropriation was authorized before Minnesota had a statewide radio system and before the widespread use of cell phones – making a need for relay and coordination between ambulances and hospitals. It was also appropriated by the legislature prior to the 911 Net Improvement Act passing the US Legislature. In November 2018, when the MRCCs requested an annual increase in funding in the amount of $600,000.00, ECN performed an investigatory audit into how funds were being spent. It was discovered that the MRCCs have been spending their appropriation inconsistent with E9-1-1 fund eligibility prescribed within MN State Statute. ECN reported this discovery to the FCC and is actively worked on a solution to remedy it. Effective July 1, 2022, this appropriation will be added to the amount distributed to eligible MN PSAPs.  |
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| **Addendum Section G1** |
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| **Question** | **Yes** | **No** |
| **G2. In the annual period ending December 31, 2021, were funds collected for 911 or E911 purposes in your state or jurisdiction obligated or expended for the purchase, maintenance, replacement, or upgrade of public safety radios, networks, equipment, or related infrastructure?** *Check one*. | **[x]**  | **[ ]**  |
| **G2a. If YES to G2, are all of the public safety radios, networks, equipment, or related infrastructure on which funds were obligated or expended used to deliver 911-originated information to emergency responders? For the purposes of this questionnaire, 911-originated information includes all data and information delivered between the 911 request for assistance and the emergency responders.**  | **[ ]**  | **[x]**  |
| **G2a(i). If NO to G2a, please explain.** |
| While a majority of the users on the land mobile radio system are public safety users who communicate with a PSAP, there are also non-public safety entities such as public works vehicles and school busses and metro transit systems who are users. The State of Minnesota is preparing to conduct a comprehensive system wide inventory to determine the exact percentage of public safety v. non public safety users and will ensure the amount of 9-1-1 fees used to support this communication system is proportionate to the number of public safety users on the system.  |
| **G2b.** **If YES to G2, please itemize the amounts that were obligated or expended and include descriptions of the public safety radios, networks, equipment, or related infrastructure.**  |
| **Amount of Funds ($)** | **Description of such obligations or expenditures. (*If you need more rows for your response, please enter the information in Addendum Section G2.*)** |
| $10.5M | Maintenance and support of the statewide land mobile radio system |
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| **Addendum Section G2** |
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**Safe Harbor for Multi-Purpose Fees**. Section 9.23(d) of the rules provides an elective safe harbor for states and taxing jurisdictions that designate multi-purpose fees or charges for “public safety,” “emergency services,” or other similar purposes where a portion of those fees or charges supports 911 services. *See* 47 CFR § 9.23(d). The rule provides that the obligation or expenditure of such a fee or charge will not constitute diversion if the state or taxing jurisdiction (i) specifies the amount or percentage of such fees or charges that is dedicated to 911 services; (ii) ensures that the 911 portion of such fees or charges is segregated and not commingled with any other funds; and (iii) obligates or expends the 911 portion of such fees or charges for acceptable purposes and functions as defined under the Commission’s rules.

**G3. Does your state or taxing jurisdiction collect fees or charges designated for “public safety,” “emergency services,” or other similar purposes where a portion of those fees or charges supports 911 services?** *Check one.*

* Yes ………………….. [ ]
* No ………………..….. [x]

**If YES to G3, please answer Questions G3a – G3c below.** *(If NO to G3, leave blank.)*

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| **Question**  | **Yes** | **No** |
| **G3a. Does the state or taxing jurisdiction specify the amount or percentage of such fees or charges that is dedicated to 911 services?** *Check one.* | **[ ]**  | **[ ]**  |
| **Question** | **Response** |
| **G3a(i). Cite to the authority by which the state or taxing jurisdiction specifies the amount or percentage.**  |       |
| **G3a(ii). Indicate the amount or percentage of such a fee dedicated to 911 services. Provide *either* dollar amount or percentage.** *(Leave inapplicable cell blank.)* | $      |
|      % |
| **Question**  | **Yes** | **No** |
| **G3b. Does the state or taxing jurisdiction ensure that the 911 portion of such fees or charges is segregated and not commingled with any other funds?** *Check one.* | **[ ]**  | **[ ]**  |
| **G3b(i). Cite to the authority by which the state or taxing jurisdiction segregates such fees.** |
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| **Question**  | **Yes** | **No** |
| **G3c. Does the state or taxing jurisdiction obligate or expend the 911 portion of such fees or charges only for the purposes and functions designated by the Commission as acceptable pursuant to 47 CFR § 9.23?** *Check one.* | **[ ]**  | **[ ]**  |
| **G3c(i). If NO to G3c, please explain.** |
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| **Addendum Section G3** |
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1. **Oversight and Auditing of Collection and Use of 911/E911 Fees**

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| **Question** | **Yes** | **No** |
| **H1. Has your state established any oversight or auditing mechanisms or procedures to determine whether collected funds have been obligated or expended for acceptable purposes and functions as designated under the Commission’s rules?** *Check one.* | **[x]**  | **[ ]**  |
| **H1a.** **If YES, provide a description of the mechanisms or procedures and any enforcement or other corrective actions undertaken in connection with such auditing authority, for the annual period ending December 31, 2021.** *(Enter “None” if no actions were taken.)* |
| Most funds are remitted directly to our office. If it is found that a carrier is not or has not been remitting the correct fee amount, ECN contacts the carrier and/or preparer contact person(s) listed on the carrier's Minnesota Telephone Fees Remittance Form to determine if corrective action is needed and, if so, how to administer a correction.For prepaid wireless, 911 fees are collected at retail point of sale and remitted to the Minnesota Department of Revenue, less 3% which is retained by the retailer for collection administration. MnDOR then transmits prepaid wireless revenue to ECN on a monthly basis, less 2% retained by MnDOR for collection and distribution administration. Currently, ECN has little to no visibility into the prepaid wireless fee collection and remittance process between MnDOR and Minnesota retailers. There have been multiple discussion over the years concerning information released by MnDOR to the Department of Public Safety, tax payer data privacy, and the limitations of the current statute language. Most recently MnDOR legal has floated the idea of a potential statute update to expand the information that could be provided. ECN is engaged at this very early stage in that discussion.  |

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| **Addendum Section H1** |
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| **Question** | **Yes** | **No** |
| **H2. Does your state have the authority to audit service providers to ensure that the amount of 911/E911 fees collected from subscribers matches the service provider’s number of subscribers?** *Check one.* | **[x]**  | **[ ]**  |
| **Question** | **Yes** | **No** | **N/A** |
| **H2a. Did your state conduct an audit of service providers in connection with such auditing authority during the annual period ending December 31, 2021?** *Check one; check N/A if Question H2 response above is NO*.  | **[x]**  | **[ ]**  | **[ ]**  |
| **H2b. If YES to H2 and H2a, provide a description of any auditing or enforcement or other corrective actions undertaken in connection with such auditing authority for the annual period ending December 31, 2021.** *(Leave blank if not applicable / no actions were taken.)* |
| ECN pays many wireline carriers cost recovery per ALI record as incentive for them to maintain accuracy and integrity with their customer ALI records. We compare this to the number of records for which they remit the 911 monthly surcharge and require them to true up if there is a disparity over 5%. We also compare this against their record count in the ALI database. Unfortunately, this is an audit that we are able to perform only on approximately 15% of our MN subscribers. We don’t have a mechanism to audit wireless, pre-paid, or VoIP subscribers. |

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| **Addendum Section H2** |
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1. **Description of Next Generation 911 Services and Expenditures**

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| **Question** | **Yes** | **No** |
| **I1. Does your state or jurisdiction classify expenditures on Next Generation 911 (NG911) as within the scope of acceptable purposes and functions for the obligation or expenditure of 911 fees or charges?** *Check one.* | **[x]**  | **[ ]**  |
| **I1a. If YES, please cite any specific legal authority:** |
| Same statute language as referenced in number C. 1a. E911 and NG911 treated in parallel for purposes of allowable expenditures until the statute is formally revised. We engaged in a long overdue and substantial overhaul revision of the MN 403 Statute. It was introduced in the 2022 Legislative Session but was not passed by the legislature. The intent is to reintroduce the changes in the 2023 Legislative Session.  |

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| **Question** | **Yes** | **No** |
| **I2. In the annual period ending December 31, 2021, has your state or jurisdiction expended funds on NG911 programs?** *Check one.* | **[x]**  | **[ ]**  |
| **I2a. If YES, please enter the dollar amount that has been expended during the annual period.** |
| **Amount****($)** | $29,457,025.79 |

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| **Addendum Section I2** |
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| **I3. For the annual period ending December 31, 2021, please provide the number of PSAPs that operated on each type of NG911 Emergency Service IP Network(s) (ESInets) that operated within your state.**  |
| **Type of ESInet** | **Yes** | **No** | **If Yes, Enter Total PSAPs Operating on the ESInet** | **If Yes, does the type of ESInet interconnect with other state, regional or local ESInets?** |
| **Yes** | **No** |
| I3a. A single, state-wide ESInet | **[x]**  | **[ ]**  | 103 | **[x]**  | **[ ]**  |
| I3b. Local (*e.g.*, county) ESInet(s) | **[ ]**  | **[ ]**  |       | **[ ]**  | **[ ]**  |
| I3c. Regional ESInets | **[ ]**  | **[ ]**  | [If one Regional ESInet is in operation, provide the total PSAPs on the first line below. If more than one Regional ESInet is in operation, provide the total PSAPs operating on each ESInet.] |  |  |
| Name of Regional ESInet 1:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 2:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 3:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 4:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 5:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 6:      |       | **[ ]**  | **[ ]**  |
| Name of Regional ESInet 7:      |       | **[ ]**  | **[ ]**  |
| **If more Regional ESInets operate in your state or taxing jurisdiction, please list the names of Regional ESInets 8 and higher, and numbers of associated PSAPs, in the space below:** |
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| **Addendum Section I3** |
| Minnesota and North Dakota have ESInet to ESInet connectivity. We are working on similar arrangements with both IA and SD.  |

**I4. Please provide a description of any NG911 projects completed or underway during the annual period ending December 31, 2021.**

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| Ongoing work on statewide GIS dataset creation, initiation of cybersecurity assessments, ongoing CPE replacements/upgrades in the PSAPs, ongoing individual PSAP deployments of text to 9-1-1 (regional answering point relinquishes to local answering point)  |

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| **I4a. Based on your response to I4, please indicate which categories of NG911 expenditures from this non-exhaustive list apply.** | ***Check all that apply*.** |
| **General Project or Not Specified** | **[ ]**  |
| **Planning or Consulting Services** | **[x]**  |
| **ESInet Construction** | **[ ]**  |
| **NG911 Core Services** | **[ ]**  |
| **Hardware or Software Purchases or Upgrades** | **[x]**  |
| **GIS** | **[x]**  |
| **NG911 Security Planning** | **[x]**  |
| **Training** | **[ ]**  |

**I5. As of December 31, 2021, how many PSAPs within your state have implemented text-to-911 and are accepting texts? Please refrain from non-numeric responses such as “all PSAPs.” Enter any text in Addendum Section I5.**

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| **Total Number of PSAPs Accepting Texts as of December 31, 2021** | 80 |

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| **Addendum Section I5** |
| Minnesota implemented a statewide solution in Decmber 2017 which utilized a regional approach. There were initially 8 regional PSAPs which answered for approximately 12 additional PSAPs within their geographic regional proximity. As PSAPs have continued to upgrade CPE, most are beginning to take their own. There is a small number of PSAPs who prefer not to take their own and will continue to rely on another PSAP to perform this service on their behalf through a MOU.  |

**I6. By the end of the *next* annual period ending December 31, 2022, how many *total* PSAPs do you anticipate will have implemented text-to-911 and will be accepting texts?**

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| **Estimated Total Number of PSAPs Accepting Texts as of December 31, 2022** | 91 |

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| **Addendum Section I6** |
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1. **Cybersecurity Expenditures**

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| **Question** | **Check the appropriate box** | **If Yes,****Amount Expended ($)** |
| **J1. During the annual period ending December 31, 2021, did your state expend funds on cybersecurity programs for PSAPs?**  | Yes**[x]**  | No**[ ]**  | $58,065.00 |

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| **Addendum Section J1** |
| ECN hired a consultant to begin working on the cybersecurity assessments in the PSAP. A plan was developed and a comprehensive survey was prepared, but was not introduced to the PSAPs until 2022.  |

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| **Question** | **Total PSAPs** |
| **J2. During the annual period ending December 31, 2021, how many PSAPs in your state either implemented a cybersecurity program or participated in a regional or state-run cybersecurity program?** | 0 |

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| **Addendum Section J2** |
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| **Question** | **Yes** | **No** | **Unknown** |
| **J3. Does your state or jurisdiction adhere to the National Institute of Standards and Technology *Framework for Improving Critical Infrastructure Cybersecurity* (April 2018) for networks supporting one or more PSAPs in your state or jurisdiction?**[[5]](#footnote-6) *Check one.* | **[ ]**  | **[ ]**  | **[x]**  |

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| **Addendum Section J3** |
| It is our intent to adhere to the NIST framework.  |

1. **Measuring Effective Utilization of 911/E911 Fees**

**K1. Please provide an assessment of the effects achieved from the expenditure of state 911/E911 or NG911 funds, including any criteria your state or jurisdiction uses to measure the effectiveness of the use of 911/E911 fees and charges.**  **If your state conducts annual or other periodic assessments, please provide an electronic copy (*e.g.*, Word, PDF) of the latest such report upon submission of this questionnaire to the FCC or provide links to online versions of such reports in the space below.**

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| Electronic Excel Document included with submission. Contains list of expenditures made by PSAP who are eligible to received monthly 911 fee distributions from ECN. Expenses divided into 9 specified categories.  |

1. **Underfunding of 911**

For the purposes of this questionnaire, underfunding occurs when funding levels are below the levels required for optimal performance of 911 operations.

**L1. Describe the impact of any underfunding of 911 services in your state or taxing jurisdiction during the annual period ending December 31, 2021.**

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| The limited use scope of the NHTSA/NTIA 911 grant resulted in challenges is being able to expend it for legitimately needed next generation 9-1-1 advancements.  |

**L2. Describe how any fee diversion affected 911 underfunding in your state or taxing jurisdiction during the annual period ending December 31, 2021.** *Indicate N/A if your state or taxing jurisdiction did not divert.*

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| The $683,000.00 that had been statutorily provided to two Metropolitan Regional Communication Centers has been discontinued and will be incorporated into the appropriation that is distributed to the PSAPs effective July 2022.  |

**We have estimated that your response to this collection of information will take an average of 10 to 55 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, Office of Managing Director, AMD‑PERM, Washington, DC 20554, Paperwork Reduction Act Project (3060‑1122). We will also accept your PRA comments via the Internet if you send an e-mail to** **PRA@fcc.gov****.**

**Please DO NOT SEND COMPLETED FORMS TO THIS ADDRESS. You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number and/or we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060‑1122.**

**THIS NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995, PUBLIC LAW 104-13, OCTOBER 1, 1995, 44 U.S.C. SECTION 3507.**

1. *See* Consolidated Appropriations Act, 2021, Public Law 116-260, Division FF, Title IX, section 902. [↑](#footnote-ref-2)
2. A Primary PSAP is one to which 911 calls are routed directly from the 911 Control office. A secondary PSAP is one to which 911 calls are transferred from a Primary PSAP. *See* National Emergency Number Association (NENA), Master Glossary of 9-1-1 Terminology at 174 (June 22, 2021), <https://cdn.ymaws.com/www.nena.org/resource/resmgr/standards/nena-adm-000.24-2021_final_2.pdf>. [↑](#footnote-ref-3)
3. For the purposes of this questionnaire, a telecommunicator, also known as a call taker or a dispatcher, is a person employed by a PSAP who is qualified to answer incoming emergency telephone calls and/or who provides for the appropriate emergency response either directly or through communication with the appropriate PSAP. *See* https://nenawiki.org/wiki/Telecommunicator. [↑](#footnote-ref-4)
4. *See* 47 CFR § 9.23(b)(1)–(5). [↑](#footnote-ref-5)
5. National Institute of Standards and Technology, Framework for Improving Critical Infrastructure Cybersecurity (2018), <https://nvlpubs.nist.gov/nistpubs/cswp/nist.cswp.04162018.pdf>. [↑](#footnote-ref-6)