**OMB Control No. 3060-1163**

**Attachment E**

**Standard Questions for a**

**Section 310(b) Petition for Declaratory Ruling**

**Involving a Broadcast Licensee**

**Petitioner(s) Name(s):**

**FCC File Number(s):**

Purpose: This list of standard questions solicits the initial information that the Committee for the Assessment of Foreign Participation in the United States Telecommunications Services Sector (Committee) will review in connection with any referral of the above-referenced Petition by the Federal Communications Commission (FCC) in order to assess any national security and law enforcement concerns raised by the Petition.

**Filing Requirement:** As required by 47 CFR § 1.40003, each Applicant subject to a referral to the Committee must provide responses to these standard questions directly to the Committee, prior to or at the same time the applicant files its application with the FCC.

Process of Review: After its review, the Committee may request additional information, including through tailored questions. The 120-day initial review period will typically start on the date the Chair of the Committee determines that the responses to these standard questions and any tailored questions, when required, are complete. If the Committee determines no tailored questions are necessary, the 120-day initial review period will start no more than 30 days after the FCC’s referral, on the date the Committee informs the FCC that the responses to the standard questions are complete and that no tailored questions are required. If the Petitioner fails to provide timely responses to any Committee requests for information, the Committee may recommend that the FCC dismiss the Petition without prejudice.

**Dissemination of Information:** The information received by the Committee pursuant to 47 CFR § 1.40003 and any subsequent requests for information by the Committee may be shared and used in accordance with Section 8 of Executive Order 13913 of April 4, 2020, Establishing the Committee for the Assessment of Foreign Participation in the United States Telecommunications Services Sector, 85 Fed. Reg. 19643 (Apr. 8, 2020).

**Instructions**

1. **Who Must Respond to this Questionnaire:** A Petitioner that seeks to obtain (a) a section 310(b)(4) foreign ownership ruling in connection with an application for a new broadcast license or (b) a 310(b)(4) foreign ownership ruling in connection with an application for assignment, transfer of control, or other change in ownership or control of the Licensee must respond to this questionnaire. In the case of (b), a Petitioner must provide information pertaining to the post-transaction ownership, structure, and operations of the Licensee and Relevant Parties. As used in this questionnaire, the term “Licensee” refers to both an Applicant for a broadcast license and an existing licensee.
2. **Complete All Sections:** When a “Yes” answer is indicated, provide further information as appropriate. The questions seek further details regarding the Applicant and security-related practices and some questions are particularly directed at identifying and assessing the complete scope of the equipment that the Applicant will be operating and the services that the Applicant will be offering should the FCC grant those authorities. Accordingly, in answering the “Section V: Licensee Services” questions, the Applicant(s) must file complete and accurate responses. Applicants should explain in their submissions the scope of their responses and any limitations in their responses. If necessary, the Committee will contact the Applicant to clarify or expand upon its responses after the Commission refers the application or when the Committee sends any Tailored Questions.
3. **Response Format:** Uniquely and sequentially number your responses to the standard questions, including any attachments, with an endorsement on each page. The number must be a unique, consistently formatted identifier; the number of digits in the numeric portion of the format should not change in subsequent productions, if any, nor should spaces, hyphens, or other separators be added or deleted. Produce any Excel documents in native format (if desired, you may also produce a PDF version for record keeping purposes).
4. **Protection from Disclosure:**All information provided in response to this questionnaire will be protected according to the provisions of Executive Order 13913, Section 8. If there are multiple Petitioners, each Petitioner should clearly mark any answers or documents that contain sensitive information that should not be disclosed to the other Petitioners.Personally Identifiable Information (PII) may be submitted in a separate attachment. The PII Supplement is Attachment G.
5. **Multiple** **Petitioners**: When there are multiple Petitioners for a single petition, the Petitioners may file responses either jointly or separately. A cover letter or email (if submitted electronically) must:
	* Provide a clear statement as to how the Petitioners have submitted their responses;
	* Identify which Petitioners have filed jointly and which Petitioners can view each other's business confidential information; and
	* Clearly identify, in headings, the group of Petitioners that have filed together, along with a case name and FCC file number.
		+ Petitioners may use a Petitioner-specific identification system, such as Bates Numbering, along with the identification of the FCC file number and party case/transaction name(s).
		+ If co-Petitioners decide to submit separate standard questions responses by email, Petitioners should submit the standard questions on the same day.
6. **Individuals’ Names:** For names that follow different naming conventions, such as the use of surnames as first names (e.g., Korean names), or the use of mother's last name as one of two last names that are often hyphenated (e.g., Spanish names), follow standard English convention for purposes of completing this information. For example, if the name is Kim Chul-su, write “Chul-su Kim” in the form. If the name in Spanish is Juan Garcia-Reyes, write “Juan Garcia Reyes.”
7. **Residential Addresses:** Contract mail receipt locations, post office boxes, co-working or shared virtual locations may not be used in lieu of residence addresses.
8. **Business Addresses:** For each business address, clearly indicate whether the address is a shared business venue, co-working location, virtual office, or traditional physical office.
9. **Obligation to Update**: The Applicant must inform the Committee if there is any material change to any of the information provided in the Applicant’s responses while the Committee’s review is ongoing, including, but not limited to, changes in ownership and equipment.
10. **Cross Referencing:** To the extent that the response to a question contains the same information provided in another response to a question in this questionnaire, the Applicant may cross reference the response to the other question. For example, if the response to Question X contains the same information provided in the response to Question Y, the response to Question X can cross reference the response to Question Y. The Applicant should not reference information provided in other documents.
11. **Definitions –** These terms, as used in this questionnaire, have the following definitions:
* “Corporate Officer” refers to any Individual (including Senior Officers) hired or appointed by the Entity’s board of directors that has actual or apparent authority to exercise day-to-day management responsibilities over an Entity.
* “Director” refers to any Individual serving on an Applicant’s board of directors or similar governing body organized to set policies for corporate management of or oversight for an Applicant.
* “Entity” includes a partnership, association, estate, trust, company, corporation, limited liability company, consortium, joint venture, governmental authority, or other organization.
* An “Equity Interest Holder” is any Individual or Entity that has the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, a share or other ownership stake in the Applicant.
* The term “Foreign Government” includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign *de facto* or *de jure* authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.
* The term “Foreign Political Party” includes any organization, or any other combination of individuals in a country other than the United States, or any unit or branch thereof, having for an aim or purpose, or which is engaged in any activity devoted in whole or in part, to the establishment, administration, control, or acquisition of, administration, or control, of a government of a foreign country, or a subdivision thereof, or the furtherance, or influencing, of the political, or public, interests, policies, or relations of a government of a foreign country, or a subdivision thereof.
* The term “Foreign Principal” includes:
	+ a government of a foreign country and a foreign political party;
	+ a person outside of the United States, unless it is established that such person is an individual and a citizen of and domiciled within the United States, or that such person is not an individual and is organized under or created by the laws of the United States or of any State or other place subject to the jurisdiction of the United States and has its principal place of business within the United States; and
	+ a partnership, association, corporation, organization, or other combination of persons organized under the laws of or having its principal place of business in a foreign country.
* “Individual” refers to a natural person, as distinguished from an Entity.
* The term “Information-service Employee” includes any person who is engaged in furnishing, disseminating, or publishing accounts, descriptions, information, or data with respect to the political, industrial, employment, economic, social, cultural, or other benefits, advantages, facts, or conditions of any country other than the United States or of any government of a foreign country or of a foreign political party or of a partnership, association, corporation, organization, or other combination of individuals organized under the laws of, or having its principal place of business in, a foreign country.
* A “Non-U.S. Individual” is an Individual who is not a U.S. citizen.
* An “Owner” is an Individual or Entity that holds an Ownership Interest in the Applicant/Licensee.
* An “Ownership Interest” is a 5% or greater equity (non-voting) and/or voting interest, whether directly or indirectly held, or a Controlling Interest in the Applicant, and includes the ownership in the ultimate parent/owner of the Applicant and any other Entity(ies) in the chain of ownership (i.e., all Entities that exist in the ownership structure between the Applicant itself and its Ultimate Parent).
	+ A “Controlling Interest” is generally a 50% or greater Ownership Interest (either equity or voting). A Controlling Interest shall be determined on a case-by-case basis considering the distribution of ownership, and the relationships of the owners, including family relationships. The term Controlling Interest includes Individuals or Entities with positive or negative *de jure* or *de facto* control of the Applicant.
	+ “Ultimate Owner” and “Ultimate Parent” refer to the Individual or Entity that ultimately owns and controls the Applicant.
	+ *De jure* control includes holding 50% or more of the voting stock of a corporation or holding a general partnership interest in a partnership. Ownership Interests that are held indirectly by any party through one or more intervening corporations may be determined by successive multiplication of the ownership percentages for each link in the vertical ownership chain except that if the ownership percentage for an interest in any link in the chain is equal to or exceeds 50% or represents actual control, it may be treated as if it were a 100% interest.
	+ *De facto* control is determined on a case-by-case basis. Examples of *de facto*, or actual, control include constituting or appointing 50% or greater of the board of directors or management committee; having authority to appoint, promote, demote, and fire Senior Officers that control the day-to-day activities of the Licensee; or playing an integral role in management decisions. In the case of a consortium, each member of the consortium shall be considered to have a Controlling Interest in the consortium.
	+ Controlling Interests include *de facto* controlling interests, for which equity and/or voting ownership may be below 5%.
* “Political Consultant” means any person who engages in informing or advising any other person with reference to the domestic or foreign policies of the United States the political or public interest, policies, or relations of a foreign country or of a Foreign Political Party.
* “Publicity Agent” includes any person who engages directly or indirectly in the publication or dissemination of oral, visual, graphic, written, or pictorial information or matter of any kind, including publication by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or otherwise.
* “Public-Relations Counsel” includes any person who engages directly or indirectly in informing, advising, or in any way representing a principal in any public relations matter pertaining to political or public interests, policies, or relations of such principal.
* “Senior Officer” refers to any individual that has actual or apparent authority to act on behalf of the Entity. Depending upon the circumstances, such individuals could include the Chief Executive Officer, the President, Chief Financial Officer, Chief Information Officer, Senior Vice President, Chief Technical Officer, or Chief Operating Officer.

**Section I: Identification of Relevant Parties**

1. Provide the name, address, principal place of business, and place of incorporation of Relevant Parties. For the purposes of the following questions, “Relevant Parties” means the following:
2. Proposed Broadcast Licensee (“**Licensee**”);
3. Proposed Controlling U.S. Parent of the Licensee (“**Petitioner**”); and
4. Any Individual or Entity with an Ownership Interest in either the Licensee or the Petitioner (“**Owner(s)/Controller(s)”**).

**Section II: Petitioner/Licensee Ownership**

1. To the extent not otherwise identified in response to Question 1, identify each Individual or Entity that holds an Ownership Interest in the Relevant Parties specifically identifying any foreign Entities, Foreign Government-controlled Entities, including the Ultimate Owner/Parent of the Licensee and Petitioner and any other Individuals or Entities holding an Ownership Interest in the chain of ownership, including a Controlling Interest in the Petitioner.
	1. For each Individual or Entity with an Ownership Interest in any of the Relevant Parties (identified in response to either Question 1 or Question 2), include a clear explanation of its involvement in the Relevant Party, including whether the Individual or Entity will have a management role in the Petitioner or Licensee.
	2. For each Individual or Entity with an Ownership Interest in any of the Relevant Parties (identified in response to either Question 1 or Question 2), provide all identifying information, as follows:
		1. For Individuals, provide name (including all names and aliases used by that person), country of citizenship (indicate whether the individual is a dual citizen and all countries where citizenship is held), date and place of birth, U.S. alien number (indicate whether the Individual is a U.S. Lawful Permanent Resident)and/or social security number (if applicable), passport identifying information (including number and country), all residence addresses, all business addresses, and all phone numbers. PII may be provided in Attachment G.
		2. For Entities, provide country of incorporation (if the United States, include state of incorporation), principal place of business, general business type (e.g., holding company, investment firm), all business addresses, email addresses, and related phone numbers.

**Section III: Petitioner/Licensee Details**

1. Do any of the Relevant Parties have existing, planned, or prior relationships, partnerships, funding arrangements, or service contracts, directly or indirectly, with any of the following:
2. Foreign companies or foreign Entities;
3. Any Foreign Government or any Entity owned or controlled by a Foreign Government;
4. Any foreign political entities or Foreign Political Parties;
5. An Individual or Entity outside the United States, not a citizen of, or domiciled within, the United States, or not subject to the jurisdiction of the United States, and not having as a principal place of business or presence in the United States.

**Yes [ ]  No [ ]**

For purposes of this question, existing, planned, and prior relationships/partnerships, and funding or service contracts do not include foreign subscribers to the Applicant’s retail services, nor do they include foreign employees who are identified in other questions (such as the Senior Officers and Directors identified in Question 24 and Non-U.S. Individuals identified in Question 19). A “planned” relationship includes foreign relationships that result from current or reasonably anticipated negotiations or that are identified under current business plans. For example, a planned relationship includes situations in which contracts have been signed or where the parties are already in negotiations. When disclosing “prior” relationships, Applicants are not expected to identify all prior foreign employees.

If yes to any question above, indicate whether the relationship/partnership includes a management role by any foreign Individuals, foreign Entities or Foreign Governments. Provide the name(s) of the foreign Individuals, foreign Entities and Foreign Governments and explain the nature of the relationship/partnership, including whether the relationship/partnership currently exists and/or is intended to continue in the future.

All such parties identified by the Relevant Parties will be referred to as a “Foreign Party.”

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors been involved in bankruptcy proceedings, or any other legal proceeding undertaken for the purpose of liquidating, reorganizing, refinancing, or otherwise seeking relief from all or some of the Relevant Party’s debts in any jurisdiction over the past 5 years?

**Yes [ ]  No [ ]**

If yes, describe in detail.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors been involved or associated with a previous application or petition to the FCC within the last 10 years? For the purposes of this question, an Individual or Entity is “involved” or “associated” with a previous application or petition if that Individual or Entity was either the Applicant or Petitioner or listed as an owner in an application or petition filed with the FCC within the last 10 years.

**Yes [ ]  No [ ]**

If yes, provide the application identifying information.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been blocked, sanctioned, penalized, or had an authorization or other permission revoked/terminated by the FCC?

**Yes [ ]  No [ ]**

If yes, describe in detail.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been involved or associated with a previous filing with the Committee on Foreign Investment in the United States (CFIUS)? For the purposes of this question, an Individual or Entity is “involved” or “associated” with a previous filing if that Individual or Entity was either the subject or listed as an

owner of the subject of any prior filing with CFIUS.

**Yes [ ]  No [ ]**

If yes, provide the filing identifying information.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been blocked, sanctioned, penalized, or had an authorization or other permission prohibited, suspended, or revoked by CFIUS?

**Yes [ ]  No [ ]**

If yes, describe in detail.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been convicted of any felony (an offense carrying a maximum potential sentence of a term of imprisonment of more than a year) in the United States or any other country? This includes any settlements or negotiated resolutions, non-prosecution agreements, or deferred prosecution agreements.

**Yes [ ]  No [ ]**

If yes, provide the details, including name(s) of the Individual or Entity involved, dates, offenses, jurisdiction/court, and sentence.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been subject to any criminal, administrative, or civil penalties imposed for violating the regulations of the FCC, U.S. Department of State, U.S. Department of the Treasury (including, but not be limited to, Internal Revenue Service, Office of Foreign Assets Control, Financial Crimes Enforcement Network (FinCEN), or Office of the Comptroller of the Currency), U.S. Department of Energy, U.S. Department of Commerce, U.S. Federal Trade Commission, U.S. Securities and Exchange Commission, U.S. Environmental Protection Agency, World Bank Group, or U.S. Commodity Futures Trading Commission, or for violating the regulations of any comparable state or foreign agency? This includes any settlements or negotiated resolutions, non-prosecution agreements, or deferred prosecution agreements.

**Yes [ ]  No [ ]**

If yes, provide details, including name(s) of the Individual or Entity involved, dates, violations, agency, penalty, and if a fine was imposed, status of payment.

1. Have any of the Relevant Parties, any of their Corporate Officers, Senior Officers, or Directors, or any associated foreign Entities ever been on the Specially Designated Nationals And Blocked Persons List (SDN List), the BIS Unverified List, or Entity List in 15 CFR part 744, or equivalent list of the United Nations Security Council or European Union?

**Yes [ ]  No [ ]**

If yes, describe in detail, including providing the specific category of list, the name of the Individual or Entity placed on the list, the date the Individual or Entity was placed on the list, and the factual circumstances underlying the reason for the Individual or Entity being placed on the list.

1. Have any of the Relevant Parties or any of their Corporate Officers, Senior Officers, or Directors ever been investigated, arraigned, arrested, indicted or convicted of any of the following (to include any settlements or negotiated resolutions, non-prosecution agreements, or deferred prosecution agreements):
2. Criminal violations of U.S. law, including espionage-related acts or violations of the Foreign Agents Registration Act (FARA)?

**Yes [ ]  No [ ]**

1. Deceptive sales practices, violations of the Telemarketing and Consumer Fraud Act and regulations, 15 U.S.C. § 6101 *et seq*., 16 C.F.R. §§ 310.1-310.8, and/or Fraud or Prohibited Practices in Contests in violation of 47 U.S.C. § 509, 18 U.S.C. § 1304, and/or other fraud or abuse practices whether pursuant to local, state, or federal law?

**Yes [ ]  No [ ]**

1. Bribery or kickbacks paid in any foreign country, to a foreign official or foreign candidate for any office, in order to establish or enhance business, influence any government decision whether or not related to telecommunications, or to gain access to or advantage over broadcast frequencies or markets, natural resources, telecommunications markets, or infrastructure, or any other advantage, whether or not such investigation resulted in a conviction, fine, or loss of any license or privilege?

**Yes [ ]  No [ ]**

If yes to any of the above questions**,** describe in detail, including name(s) of Individuals and Entities involved, date(s), and current status or final disposition of matter, including any terms of settlement. Provide any available supporting documentation.

1. Is any Relevant Party or Foreign Party registered as an agent under the Foreign Agents Registration Act, 22 U.S.C. § 611 *et seq*.

**Yes [ ]  No [ ]**

If yes, explain in detail. Should the Relevant Party or Foreign Party wish to confer with the Foreign Agent Registration Act Unit, National Security Division, United States Department of Justice, to determine whether registration is required, contact the Foreign Investment Review Section.

1. Is any Relevant Party or Foreign Party presently engaged in, or anticipate engaging in, any of the following on behalf of a Foreign Principal, foreign country, Foreign Government, or foreign agent of a Foreign Principal?
2. Within the United States, engaging in political activities for, or in the interests of, such a Foreign Principal, foreign country, or Foreign Government;
3. Within the United States, soliciting, collecting, disbursing, or dispensing contribution, loans, money, or other things of value for, or in the interest of, such Foreign Principal, foreign country, or Foreign Government; or
4. Within the United States, representing the interests of such Foreign Principal, foreign country, or Foreign Government before any agency, or official, of the Government of the United States.

If yes to any question above, explain each answer in detail.

1. Is any Relevant Party or Foreign Party presently engaged in, or anticipate engaging in, any of the following on behalf of a Foreign Principal, foreign country, Foreign Government, or foreign agent of a Foreign Principal?
2. Undertaking, or directing, an act within the United States as a Publicity Agent or Information-Service Employee for, or in the interests of, such Foreign Principal, foreign country, or Foreign Government; or
3. Undertaking, or directing, an act within the United States as a Public Relations Counsel or Political Consultant for, or in the interests of, such Foreign Principal, foreign country, or Foreign Government.

If yes to any question above, explain each answer in detail.

1. Has any Relevant Party or Foreign Party received, or anticipate receiving, any funding from any Foreign Government, or Foreign Political Party, directly or indirectly?

**Yes [ ]  No [ ]**

If yes:

1. Explain the nature of the current/planned relationships with, or funding by, all foreign Entities in detail;
2. Provide all funding amounts, whether provided directly or indirectly, including amounts itemized and aggregated for the prior ten years; and
3. Provide copies of any and all contracts, or agreements, with the foreign Entities. If not memorialized in writing, explain the understanding between the Petitioner, or Foreign Party, and the foreign Entity or government.
4. Will any Foreign Government or Foreign Political Party, directly or indirectly, have any direction, control, or influence of any activity of any Relevant Party or Foreign Party?

**Yes [ ]  No [ ]**

If yes, explain in detail.

1. Identify the Individual who will be responsible for adhering to the FCC’s political advertising rules and maintaining a Political File pursuant to 47 CFR § 73.1943, and describe that Individual’s role with the Relevant Party.

Provide the responsive information in the chart below:

|  |  |  |  |
| --- | --- | --- | --- |
| **Responsible Person** | **Title/Role of the Responsible Person** | **Applicable TV/Radio Station** | **Call Sign** |

1. Will any Non-U.S. Individual, non-U.S. Owners, or non-U.S. management, including non-U.S. independent or non-U.S. third-party Individuals or Entities of the Relevant Party, have access to one or more of the following:
2. Physical facilities or equipment under the Relevant Party’s control; or
3. Electronic interfaces that allow control, or monitoring, of the facilities or infrastructure under the Relevant Party’s control, including access to actual programming content and content distribution.

**Yes [ ]  No [ ]**

For purposes of answering this question, “facilities” and “equipment” as used in a) and b) specifically refers to (1) all facilities and equipment in the United States, (2) facilities outside the United States that are used to broadcast into the United States, and (3) facilities both inside and outside the United States that store, process, or provide access to U.S. person data (including data on current, past, and potential U.S. customers). If yes to either a) or b), explain the type of access and control that will be provided, and provide the following information:

* + 1. Full name(s) and alias(es);
1. Country(ies) of citizenship (If multiple countries of citizenship, list all countries.);
2. Employer name and relationship to either party;
3. Date of birth;
4. Place of birth;
5. Social Security Number (SSN) (if applicable);
6. U.S. Alien Number (if applicable);
7. Passport Number(s) and complete name of country(ies) of issuance (If multiple passports, list all passport numbers and the complete name of the country of issuance.);
8. Cellular/mobile phone number(s);
9. Home phone number(s);
10. Email address(es) used (including business and personal);
11. Residence address(es);
12. Business address(es); and
13. Business phone number(s).
14. Does the Relevant Party have any screening or vetting procedures that will be applied to U.S. or Non-U.S. Individuals who have access, remote or otherwise, to communications facilities, broadcast-network facilities, equipment, or data?

**Yes [ ]  No [ ]**

If yes, explain all such procedures in detail.

1. Does the Relevant Party currently operate a website?

**Yes [ ]  No [ ]**

If yes, provide all URL addresses for any current or known future websites and describe whether the information therein is up to date.

1. Identify the total number of current employees of the Relevant Parties and the total number of planned employees for the next 12 months, and provide the following information:
2. Where are, or will, those employees be located for purposes of work?
3. Describe the access each category of employee (e.g., Publicity Agent, Information-Service Employee, remote sales force, billing support, executives, technical support, content review, content approval) will have to:
	* 1. The Licensee’s network;
		2. The Licensee’s business locations;
		3. The physical facilities, equipment, or network elements owned, controlled, or leased by the Licensee;
		4. Any production facilities, or equipment, whether under the direct control of either the Licensee or under the control of independent third parties tasked or contracted for services; and
		5. Customer/listener records of any kind, including billing records, listening platform used (e.g., app, online, subscription service), and other listener profile information, such as geolocation information, listening habits, political or national affiliation, and aggregated or compiled data of customers obtained as a result of the provision of services or acquired from third parties for any purpose.

**Section IV: Licensee Operations**

1. Has the Licensee been operational over the course of the current and/or previous year?

**Yes [ ]  No [ ]**

If yes**,** provide financial statements and records for the Licensee and Petitioner for the current and preceding year.

1. Name each of the Relevant Party’s Corporate Officers, Senior Officers, and Directors and for each provide the following:
2. Explain the nature and extent of each Corporate Officer’s, Senior Officer’s, and Director’s involvement in the Entity’s business; and
3. Provide all countries of citizenship, date and place of birth, U.S. alien number (indicate whether the Individual is a U.S. Lawful Permanent Resident) and/or social security number (if applicable), passport identifying information (including number and country), all residence addresses, all business addresses, and all phone numbers. PII may be provided in Attachment G.
4. Identify the Individual (who is a U.S. citizen residing in the United States with an active security clearance or who is eligible to obtain one) who will be the Licensee’s authorized law enforcement point of contact responsible for accepting and responding to requests or compulsory processes from U.S. law enforcement or other U.S. government agencies.

Explain the Individual’s relationship to the Licensee and provide name, all countries of citizenship, date and place of birth, U.S. social security number, all passport identifying information (including number and country), all residence addresses, all business addresses, and all phone numbers.  Also identify whether the Individual has an active U.S. Government security clearance.  PII may be provided in Attachment G.

1. Provide all U.S. and foreign addresses (complete postal addresses) of the present and anticipated locations of the Relevant Party’s:
2. Facilities, whether owned, leased, used or shared;
3. Broadcast locations;
4. Editorial locations;
5. Data storage locations; and
6. Locations where content is reviewed, whether or not content is edited.

**Section V: Licensee Services**

1. List the types of broadcast licenses held (or applied for) by the Licensee (e.g., radio, television) including the geographic area of service for each type of license.
2. Provide a general summary of the nature of the Licensee’s current and planned services and operations, to include an explanation of the Licensee’s intended overall business model and its relationship with any sister and/or partner companies.

Explain why the Licensee and/or Petitioner is seeking foreign investment/ownership.

1. Will programming be rebroadcast via satellite or cable?

**Yes [ ]  No [ ]**

If yes, describe in detail.

1. Will programing be available online?

**Yes [ ]  No [ ]**

If yes, describe the streaming business operation (including what platform(s) will be used to make the programming available online).

1. Describe the intended viewer/listener base of the Licensee’s broadcasts, primary language spoken of the target audience, and other demographics, including:
2. An explanation of how services are offered to each category of viewers/listeners and platform; and
3. Identification of any specific business or economic sectors that supply advertising or other assistance to either the Licensee or Petitioner.
4. Does any Relevant Party or any of its subsidiaries or parents provide broadcast services of any kind in any foreign country or in the United States?

**Yes [ ]  No [ ]**

If yes, list all services provided in each country where those services are provided.

1. Does any Relevant Party, or any foreign Owner (or its affiliates), intend to allow Non-U.S. Individuals, investors, Entities, or governments to provide any influence, direction, control, commentary, or guidance on the content of programming to be broadcast?
2. If yes, does any Relevant Party, or any foreign Owner (or its affiliates), intend to place any restrictions, or limitations, on how Non-U.S. Individuals, investors, Entities, or governments may influence, direct, control, comment, or guide the content of programming to be broadcast?
3. What, if any, policies, procedures, and protocols does any Relevant Party, or any foreign Owner (or its affiliates), intend to put in effect to restrict, limit, or prohibit Non-U.S. Individuals, investors, Entities, or governments from providing influence, direction, control, commentary, or guidance on the content of programming to be broadcast?
4. To the extent that any Relevant Party, or any foreign Owner (or its affiliates), has any such policies, procedures, and protocols in effect now, produce copies of those materials.
5. Indicate whether any Relevant Party or any of its subsidiaries that offer application or web-based content collect, process, or store any U.S. subscriber data. If so, identify what types of data (e.g., name, address, email address, phone number, credit card number) are collected, processed, or stored for each U.S. subscriber.
6. Indicate where any U.S. subscriber data identified here is stored and who serves as the custodian for such data. Also indicate who has access to such data and whether each Individual with access is a U.S. or non-U.S. citizen.
7. If U.S. subscriber data is disclosed/will be disclosed to third parties (service providers, third party advertisers, etc.) please identify which companies it is disclosed to (i.e., company name, address, and business relationship to Licensee).

What U.S. customer data is disclosed?

What, if any, limitations are placed on the third party’s use of the data?

What, if any, limitations are placed on third party’s further disclosure of the data?

What, if any, data security/storage standards does Licensee require of third parties?

1. Indicate whether any Relevant Party, or its subsidiaries, have deployed any security measures, protocols, or policies to protect subscriber data identified here from unauthorized access or disclosure. Describe each measure, protocol, or policy in place to protect U.S. subscriber data. If the measures have been audited, provide the results of the audits.

**WARNING**

If the Petitioner or Licensee knowingly and willfully (1) falsifies, conceals, or covers up by any trick, scheme, or device a material fact; (2) makes any materially false, fictitious, or fraudulent statement or representation; or (3) makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry, the Petitioner or Licensee may be subject to prosecution under Title 18, United States Code, Section 1001. The FCC may terminate, revoke, or render null and void any license or authorization granted in this matter if any responses provided are false or intentionally misleading.

**Licensee Certification**

Pursuant to Title 28, United States Code, Section 1746, I, an authorized representative of the Licensee, declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Executed this \_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_, year of \_\_\_\_\_\_\_\_.

Representative Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Representative Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Representative Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Petitioner Certification**

Pursuant to Title 28, United States Code, Section 1746, I, an authorized representative of the Petitioner, declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Executed this \_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_, year of \_\_\_\_\_\_\_\_.

Representative Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Representative Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Representative Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_